

# Edge-Minimum Saturated $k$ -Planar Drawings

Steven Chaplick, **Fabian Klute**, Irene Parada,  
Jonathan Rollin, and Torsten Ueckerdt

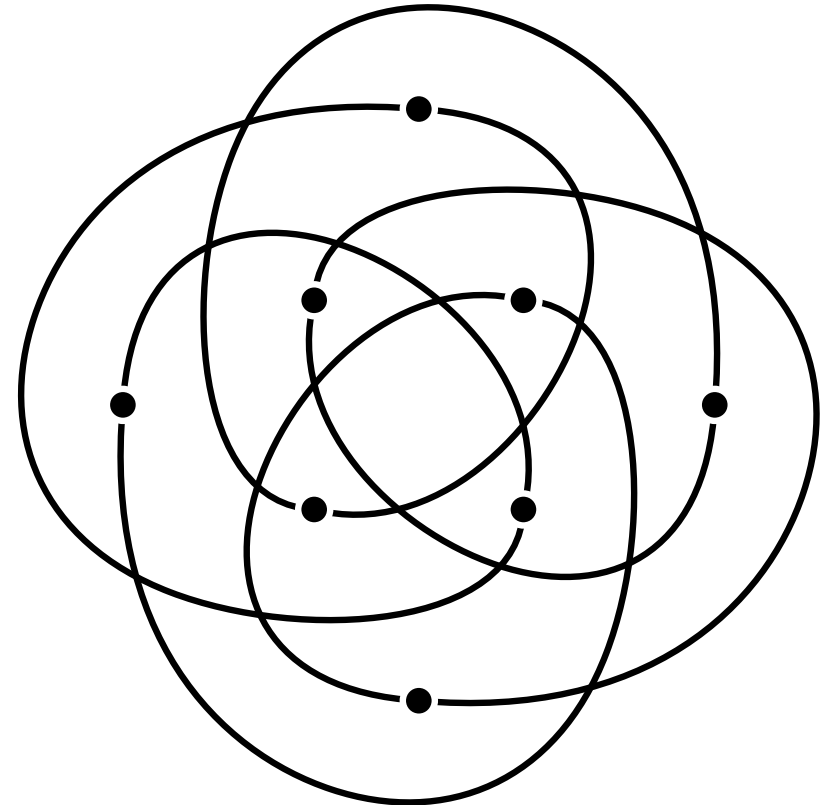
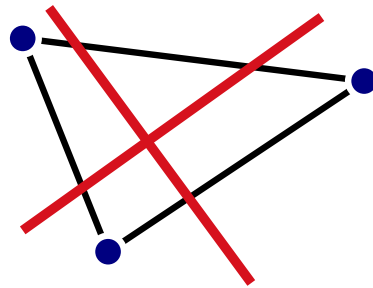
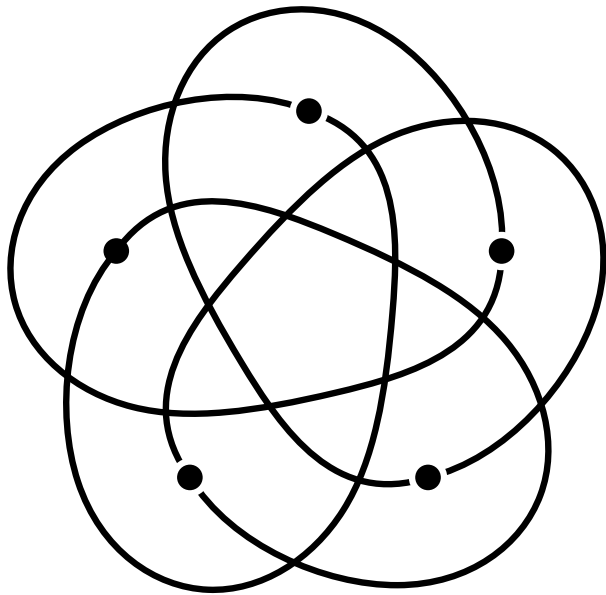
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Utrecht University

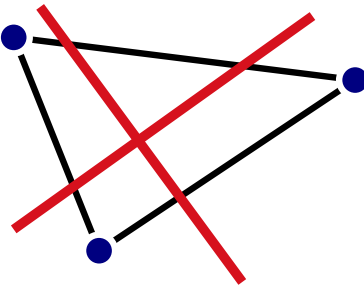
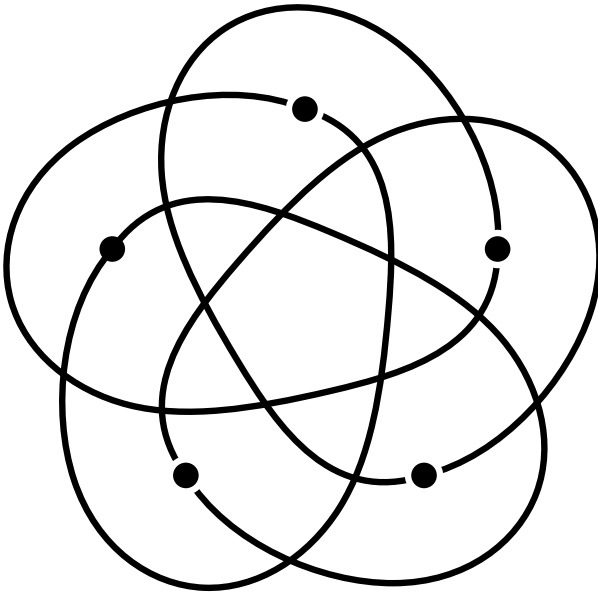
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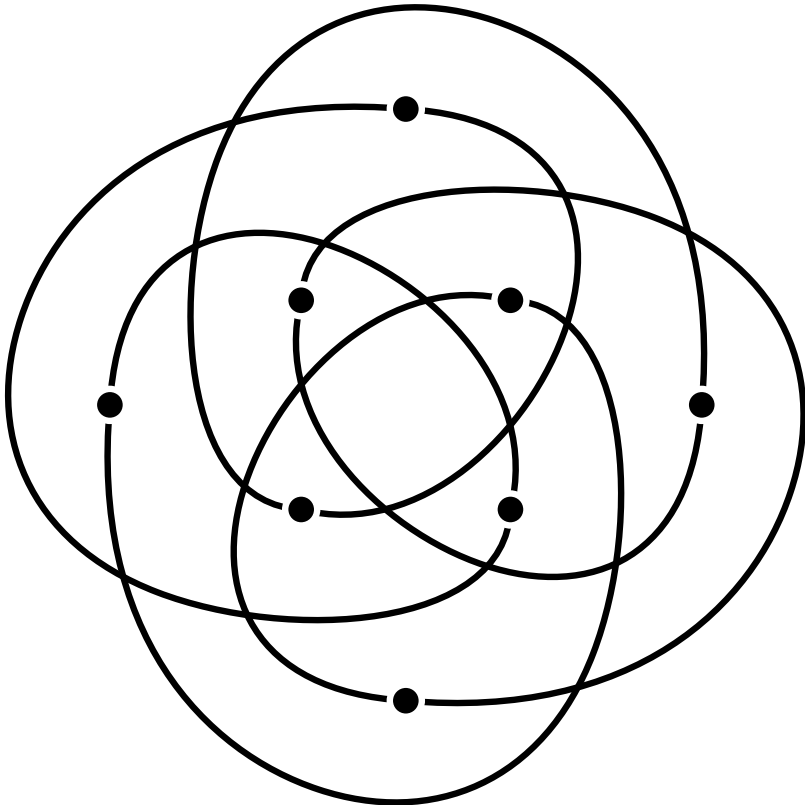


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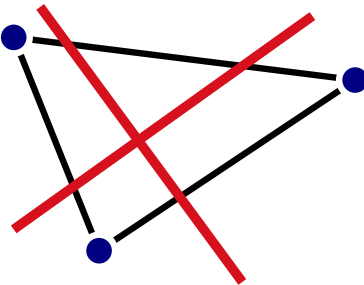
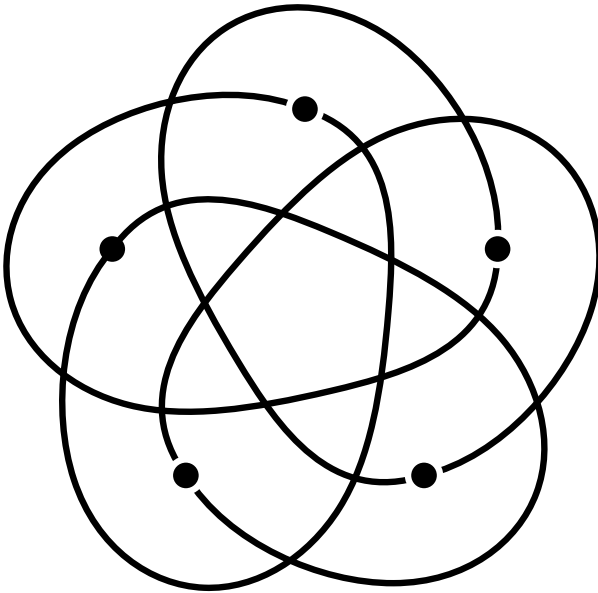
4-planar



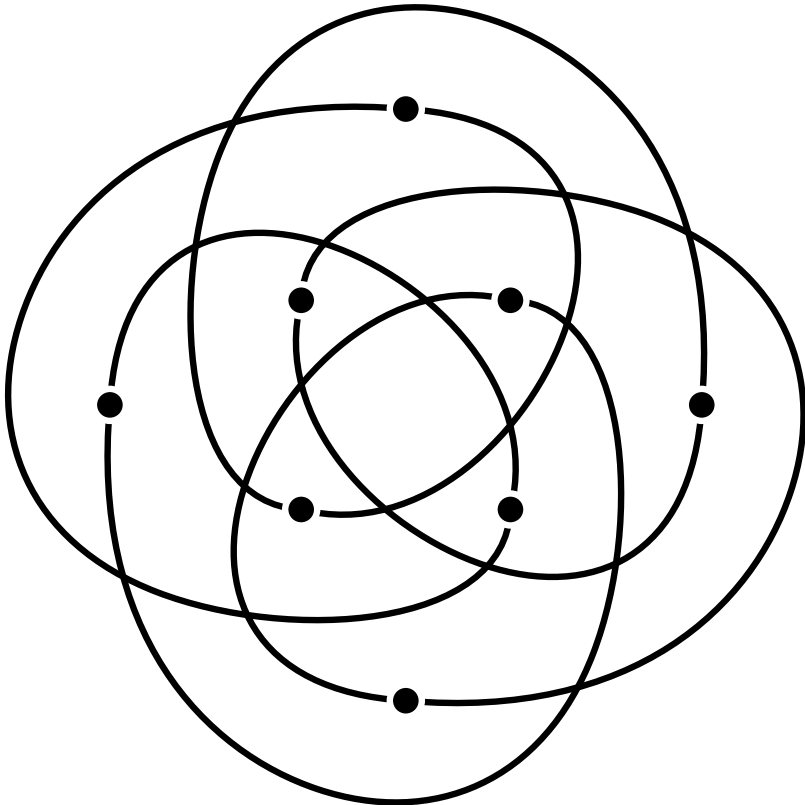
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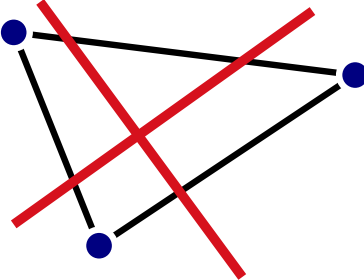
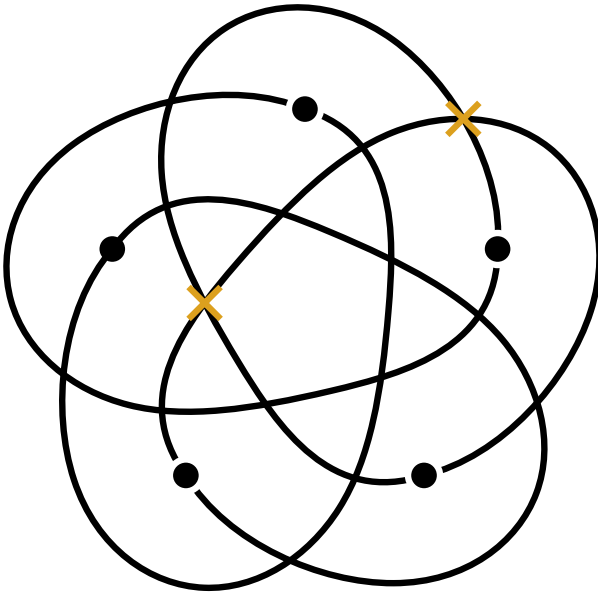
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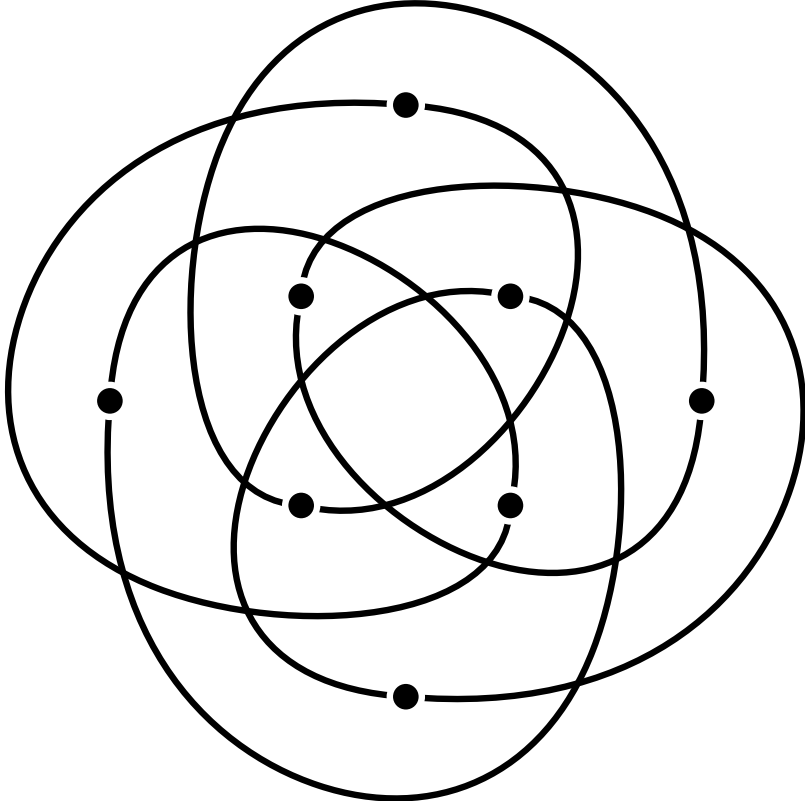
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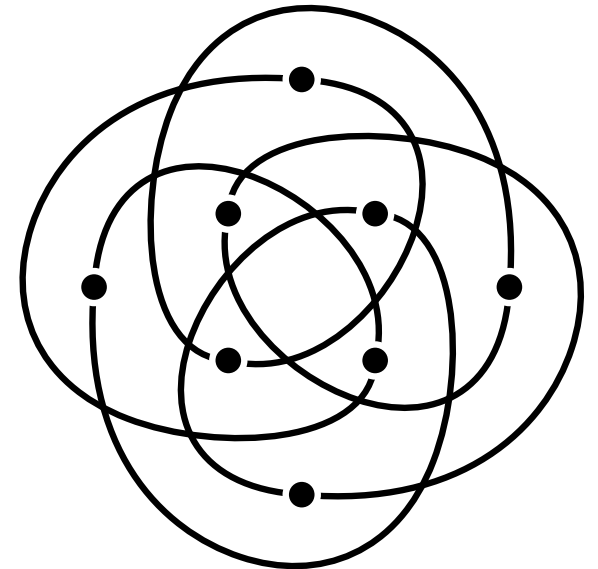


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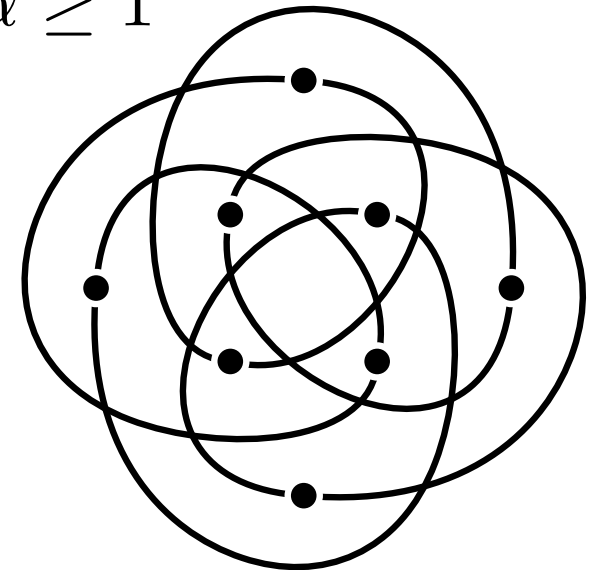
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Graph connected?  $\Rightarrow$  #edges  $\geq n - 1 \Rightarrow \alpha \geq 1$

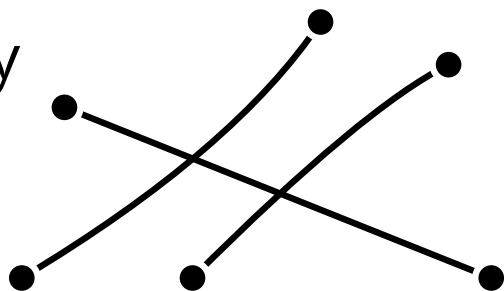
Contiguous drawing?  $\Rightarrow \alpha \geq \frac{1}{2}$

For  $k \geq 4$ ?  $\Rightarrow \alpha \leq 1$

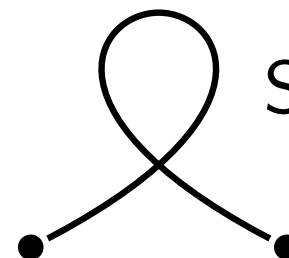


# Drawing styles

$k$ -Planarity

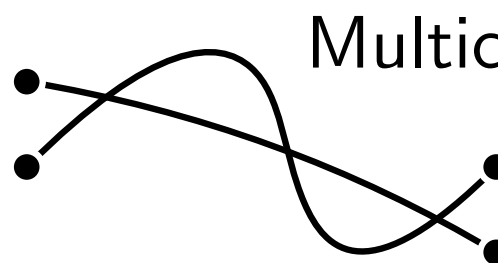
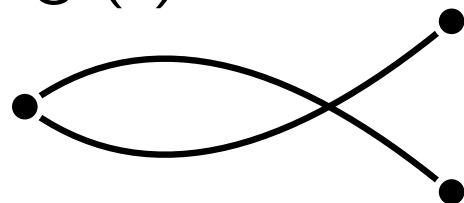


e.g.  $k = 2$



Self-crossing (**S**)

Incident-crossing (**I**)

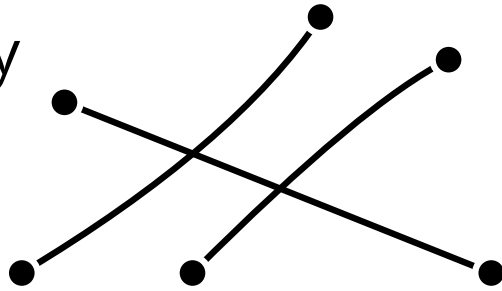


Multicrossings (**M**)

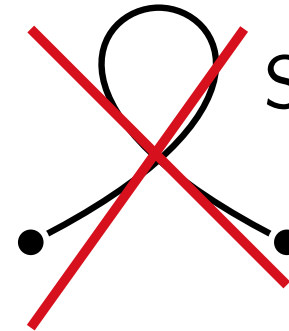


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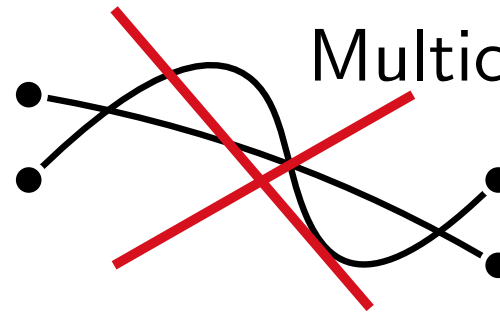
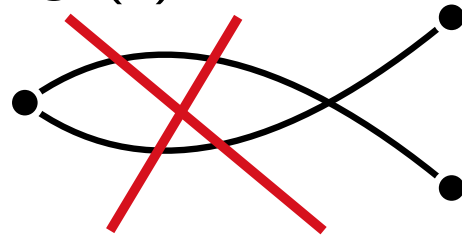


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Self-crossing (**S**)  
-free

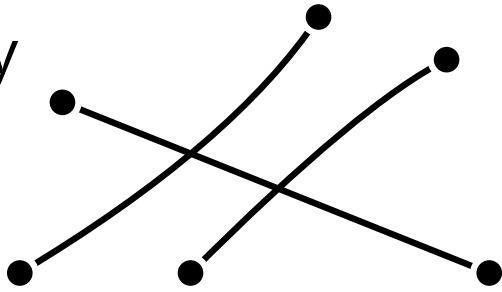
Incident-crossing (**I**)  
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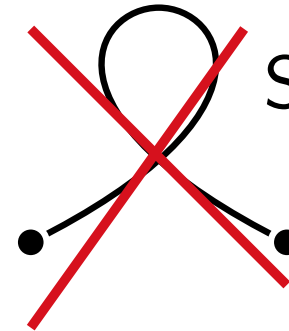
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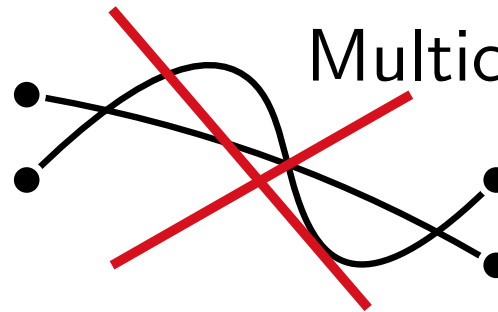
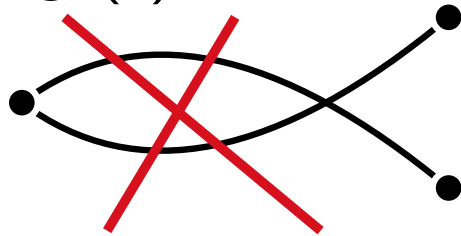


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Self-crossing (**S**)  
-free

Incident-crossing (**I**)  
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Multicrossings (**M**)  
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Note: drawing style  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  = simple/good drawings

# Saturation problems

Max-saturated (aka optimal) and min-saturated

Both directions studied for abstract graphs without  $K_t$

Max [Turán'41]; Min [Erdős, Hajnal, and Moon'64]

For planar graphs they coincide:  $3n - 6$  edges

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Max-saturated  $k$ -planar

$k$ -planar + simple drawing  $\Rightarrow \leq 3.81\sqrt{kn}$  edges  
[Ackermann'19]

1-planar + simple drawing  $\Rightarrow 4n - 8$  edges  
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Min-saturated outside  $k$ -planarity

Simple drawings [Hajnal et al.'18] & [Kynčl et al.'15]

...

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Whats the minimum #edges per drawing style and  $k$ -planarity?

For example: no selfcrossings  $\{\mathbf{S}\}$  and 4-planar

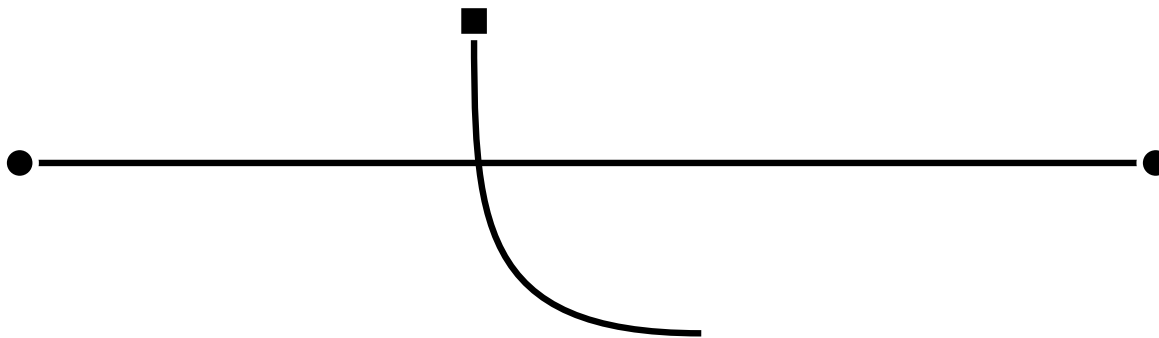


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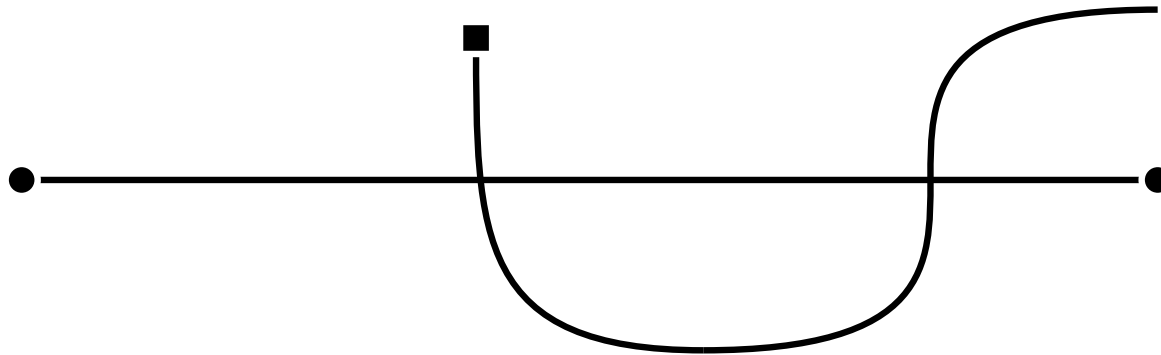


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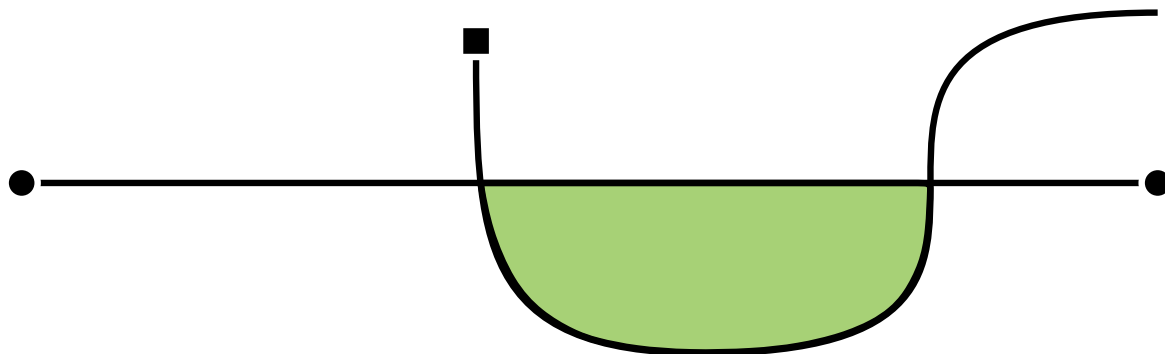


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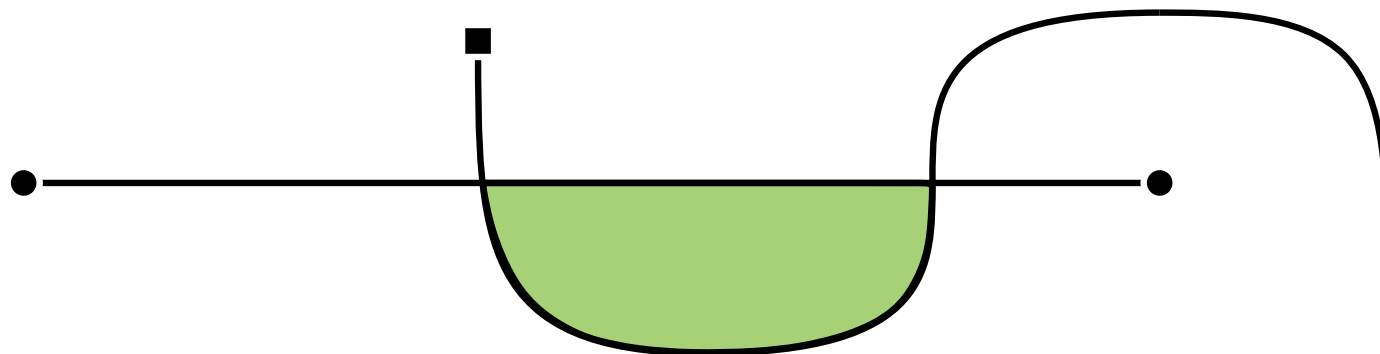


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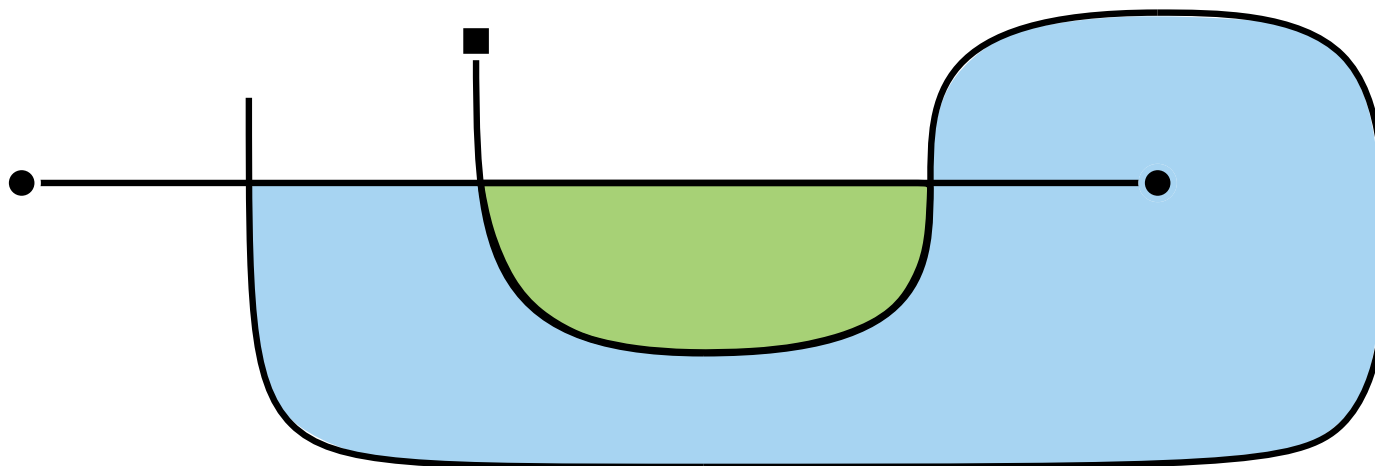


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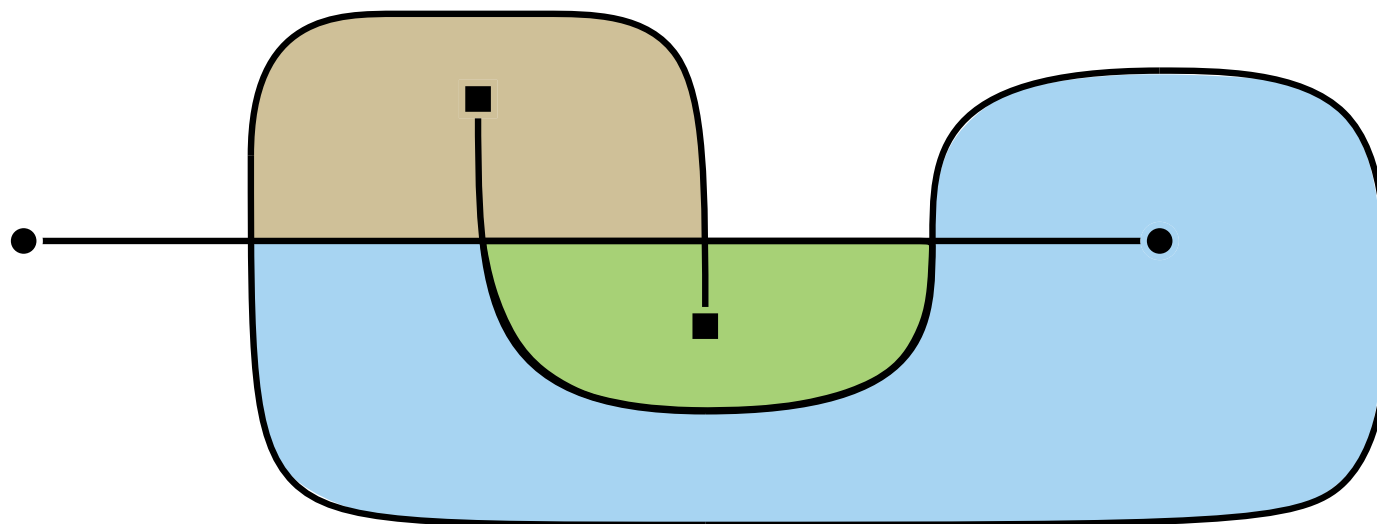


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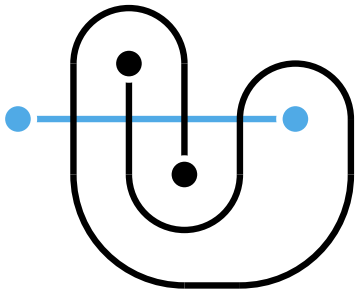


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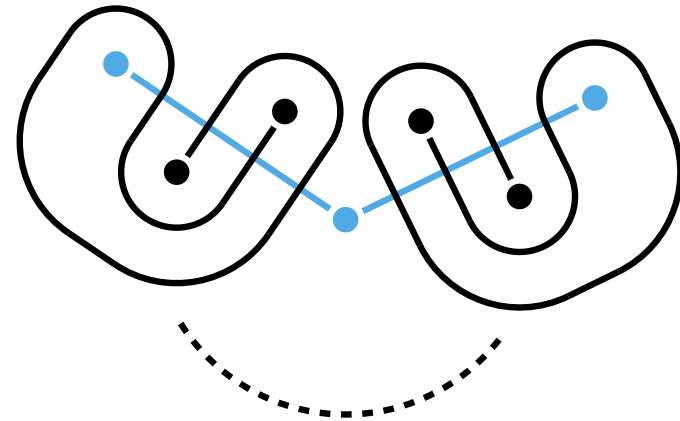
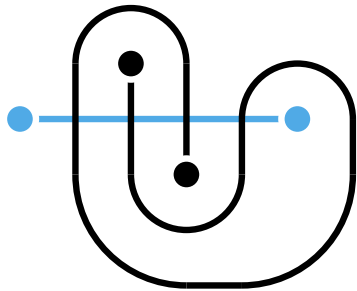


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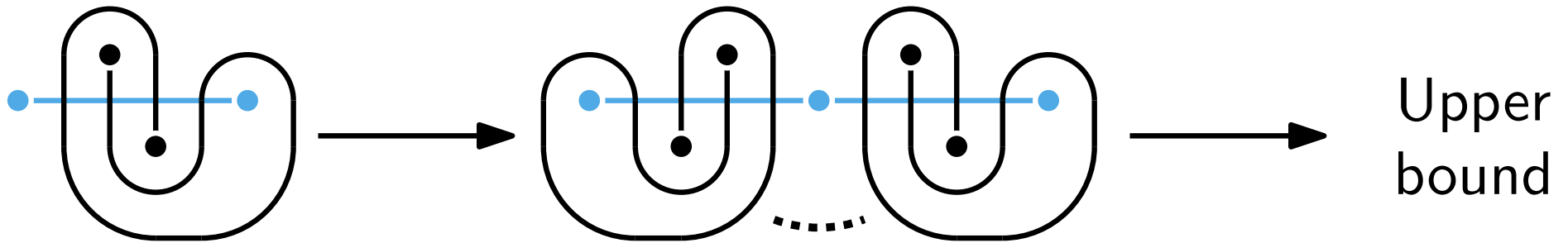
$\Rightarrow \alpha \leq \frac{2}{3}$  for  $k = 4$  and  $\{\mathbf{S}\}$



# General strategy

For  $n$  vertices the number of edges will be  $\alpha \cdot (n - 1) \rightarrow$  find  $\alpha$

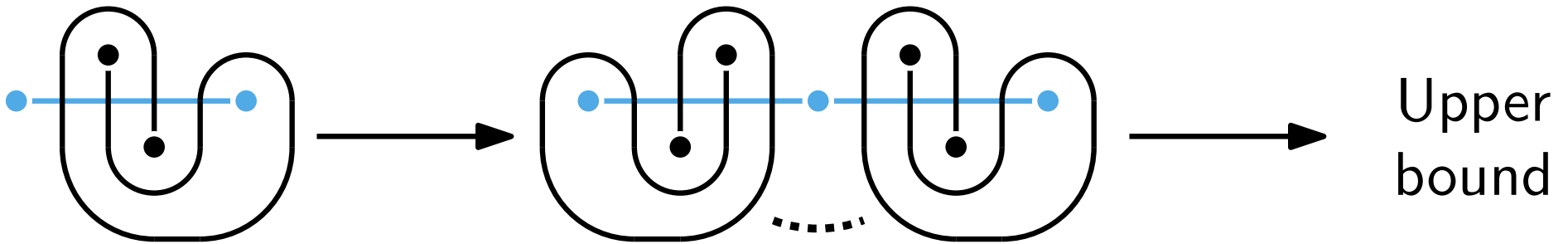
Small saturated drawing  $T$  in style  $\Gamma$



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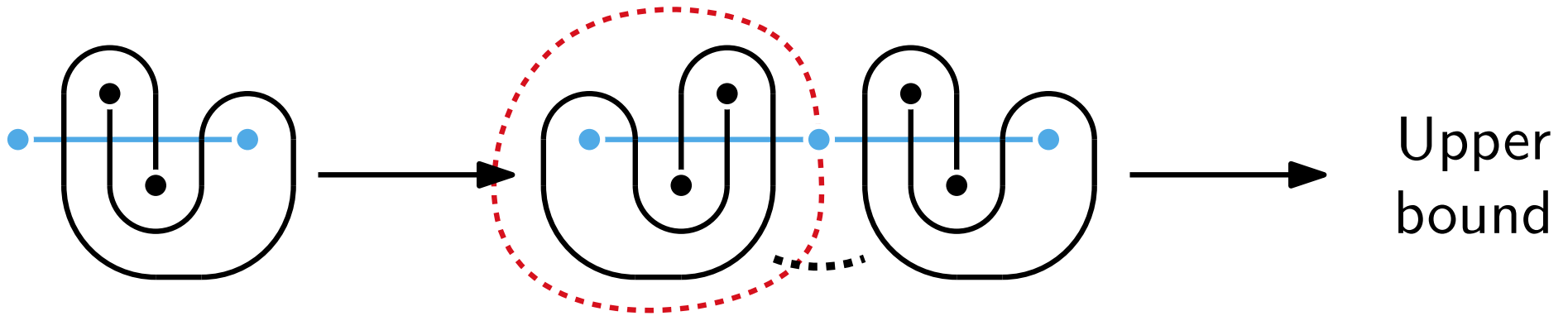
Lower bound for **monotone** and **filled** drawings

$\hookrightarrow$  Relies on filled and **essentially-2-connected** drawings

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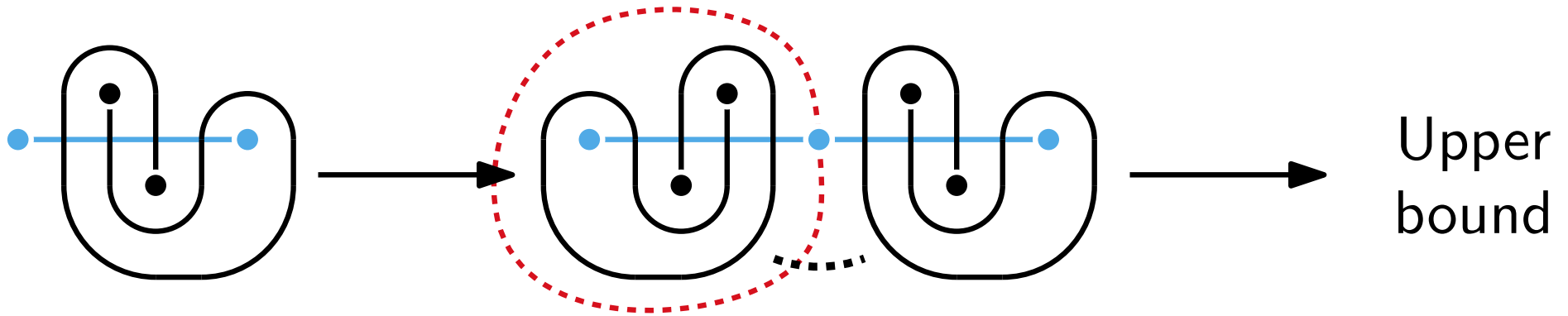
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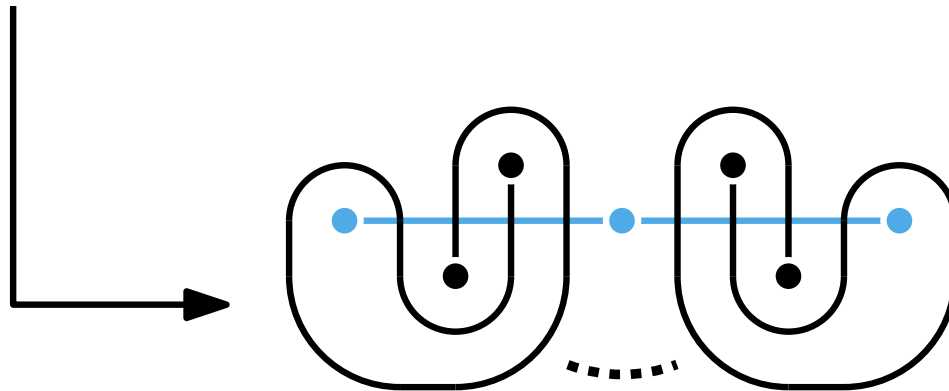
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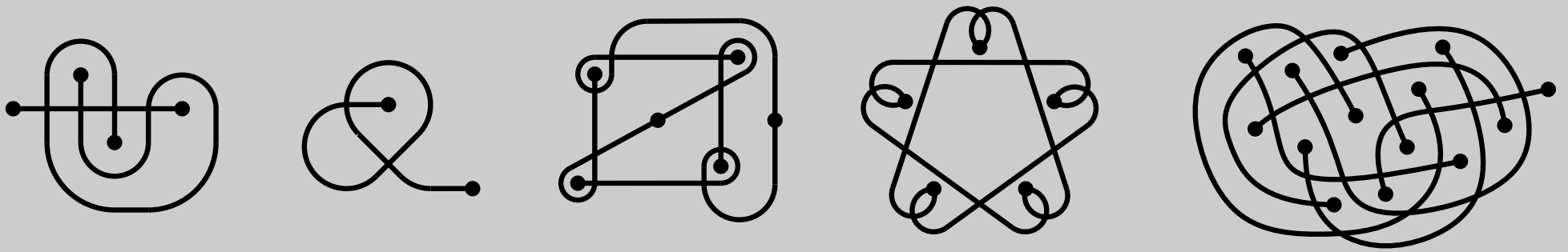
Compute #edges for filled and essentially-2-connected drawings

# Tight bound

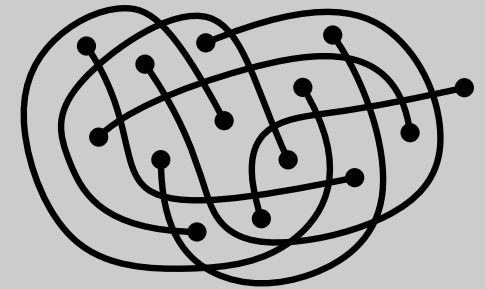
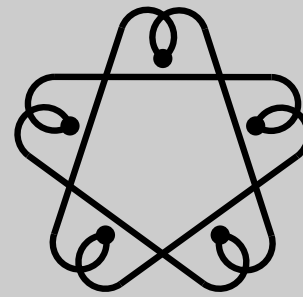
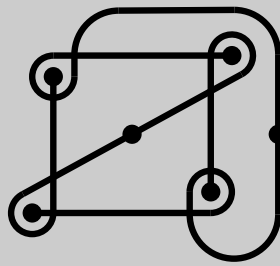
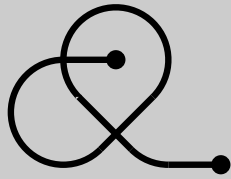
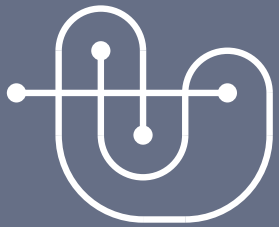
$\alpha = \frac{2}{3}$  for saturated 4-planar drawings and style  $\{\mathbf{S}\}$ .



This is the sparsest drawing in this style

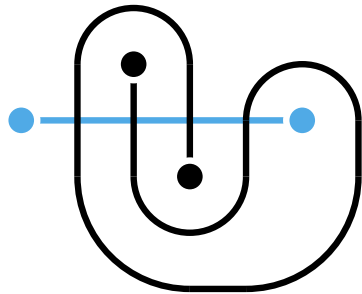


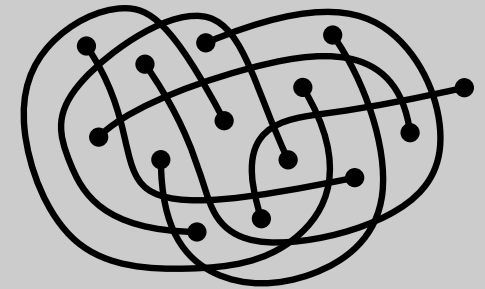
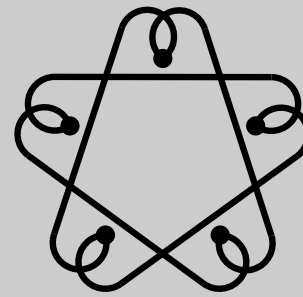
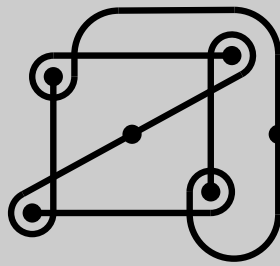
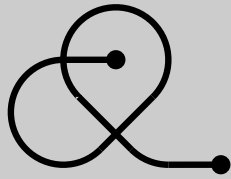
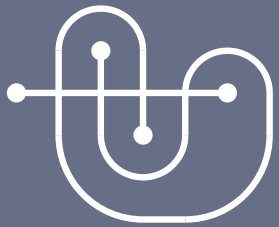
## Meet the drawings



No selfcrossings (and no incident crossings)

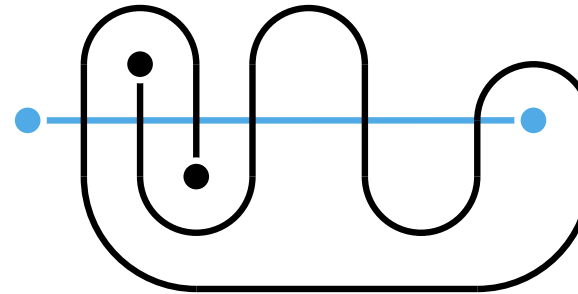
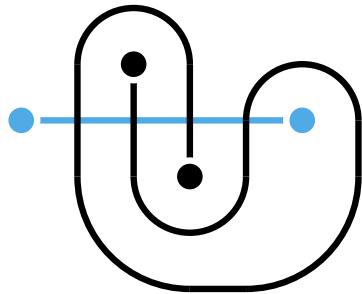
$$\alpha = \frac{2}{k-1}$$



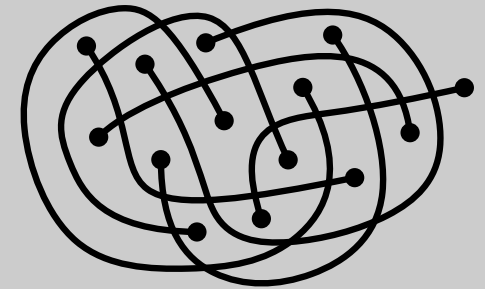
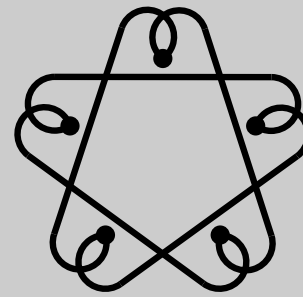
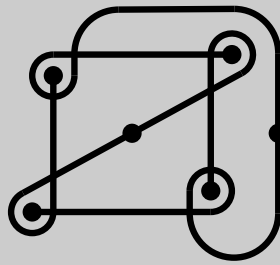
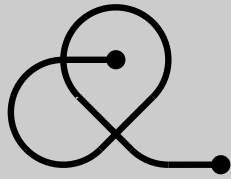


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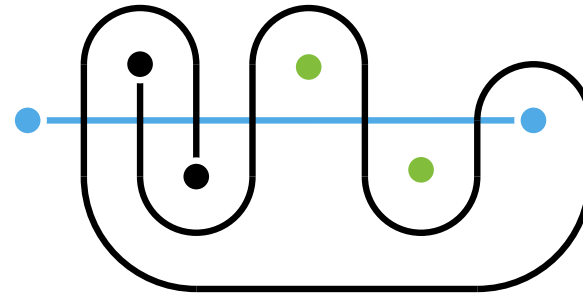
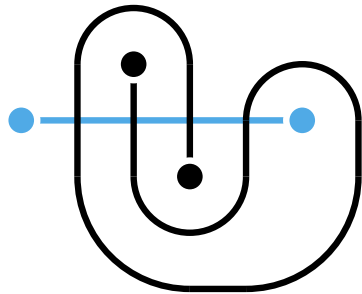


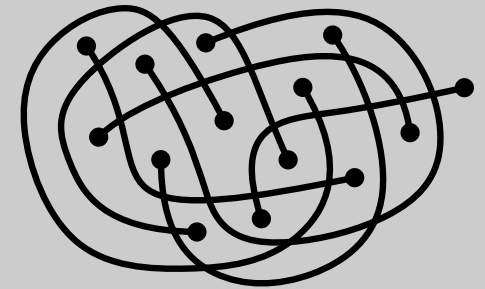
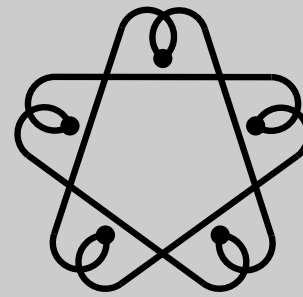
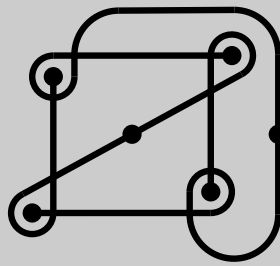
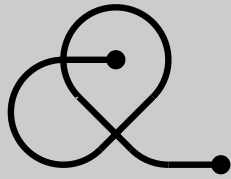
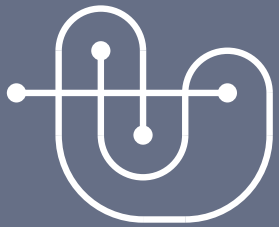




No selfcrossings (and no incident crossings)

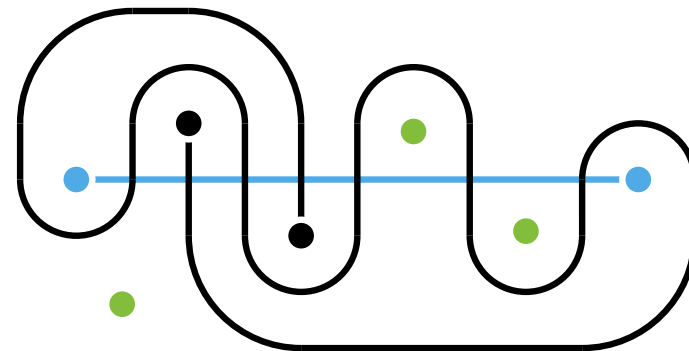
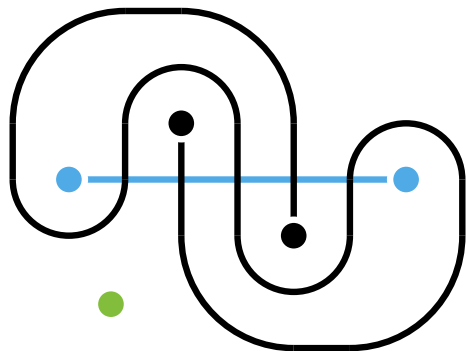
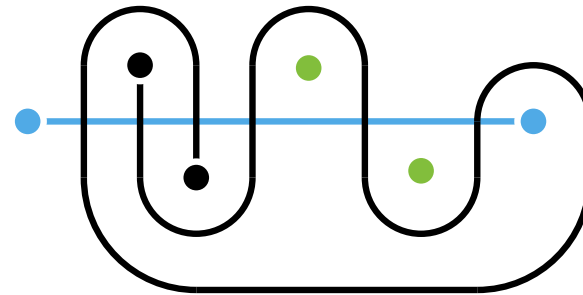
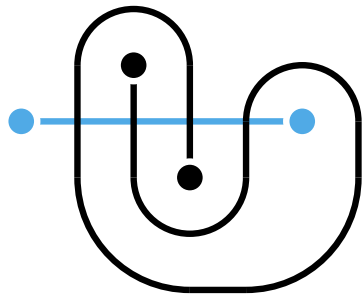
$$\alpha = \frac{2}{k-1}$$

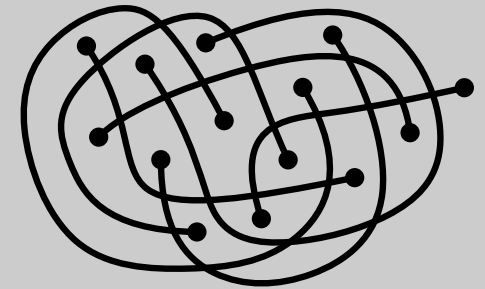
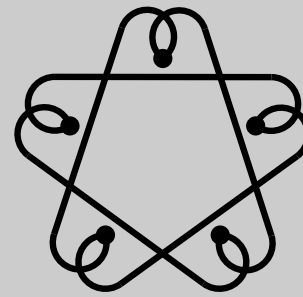
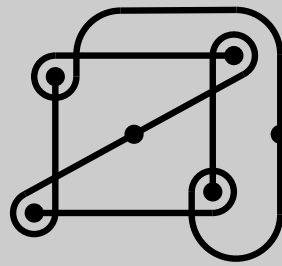
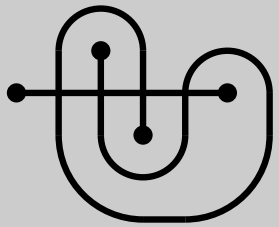




No selfcrossings (and no incident crossings)

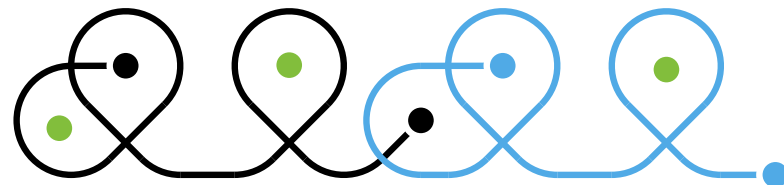
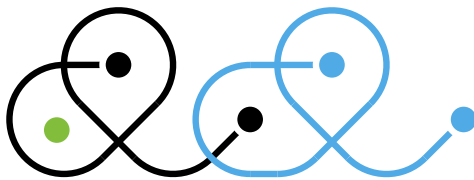
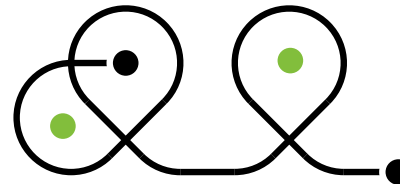
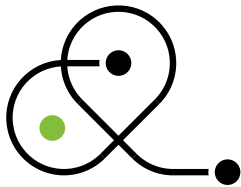
$$\alpha = \frac{2}{k-1}$$

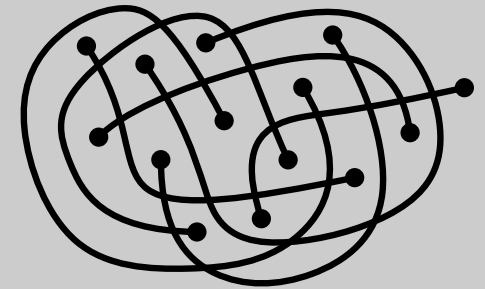
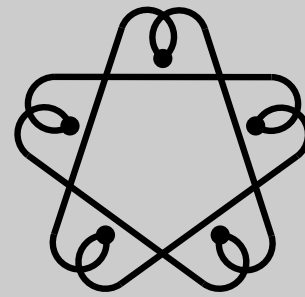
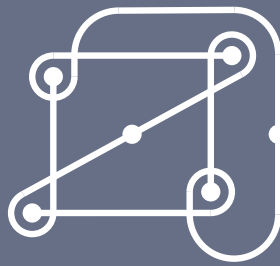
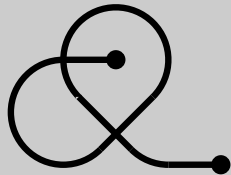
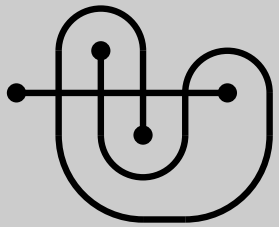




No restrictions or no incident crossings

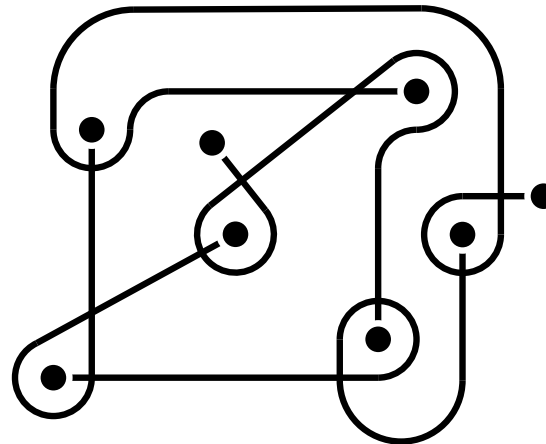
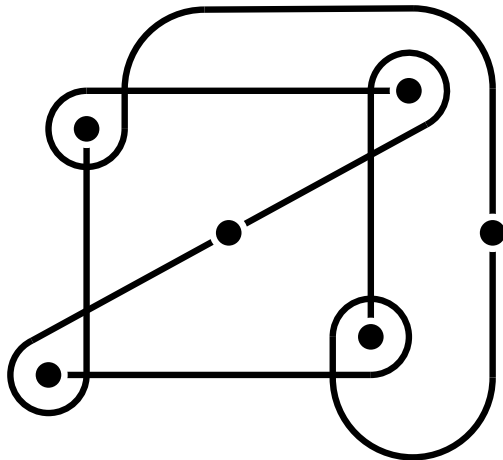
$$\alpha = \frac{2}{k - (k \bmod 2)}$$



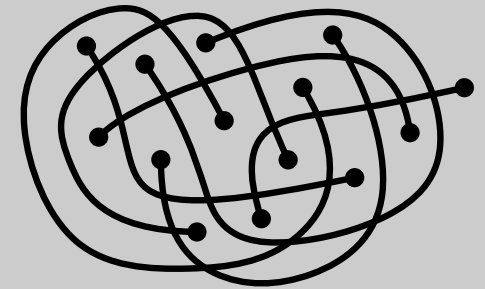
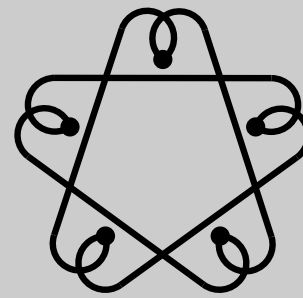
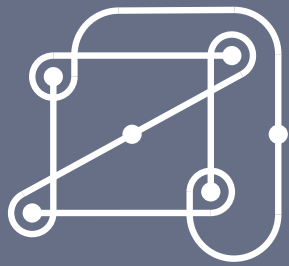
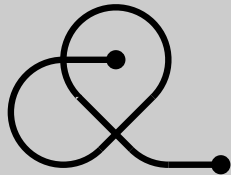
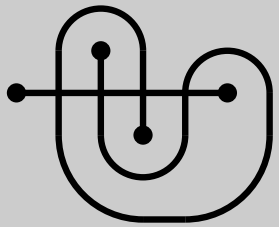


No incident- and no multicrossings

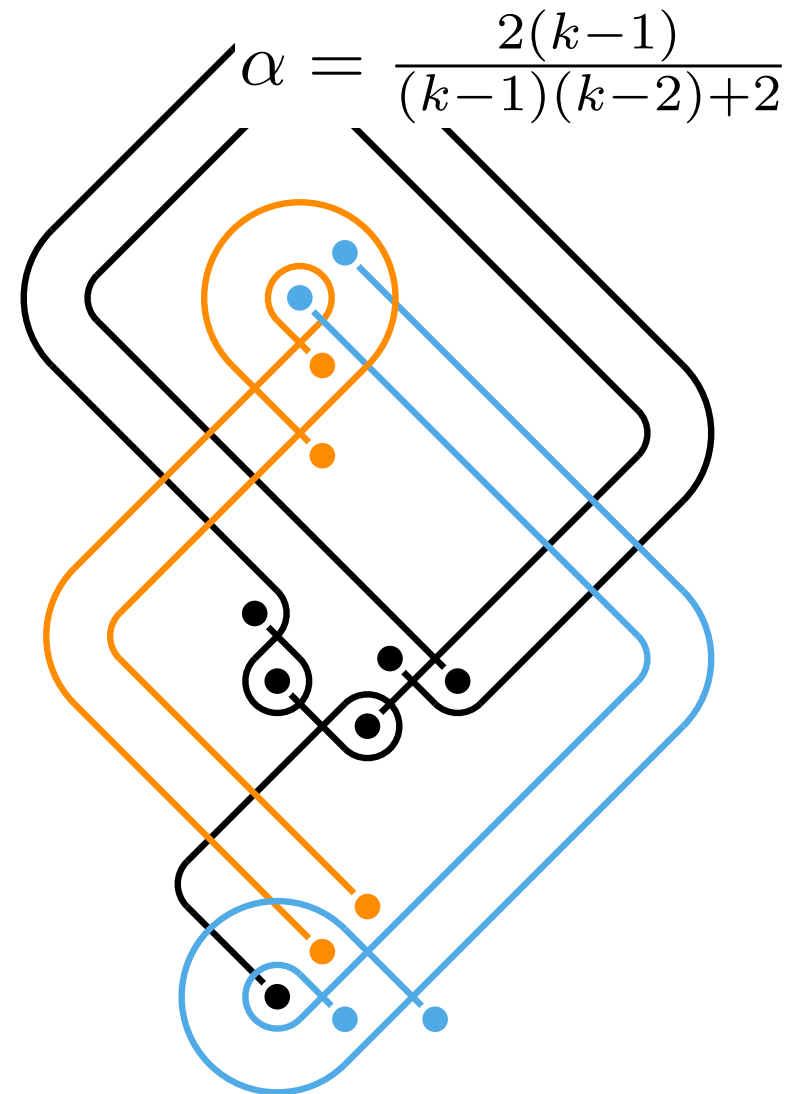
$$\alpha = \frac{2(k-1)}{(k-1)(k-2)+2}$$

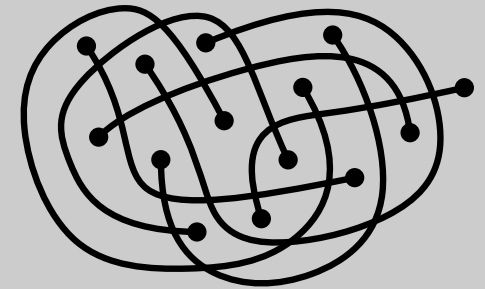
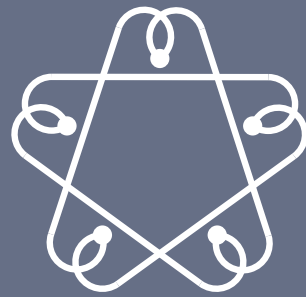
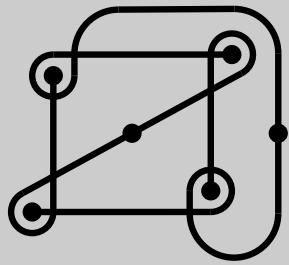
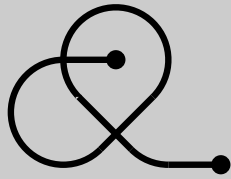
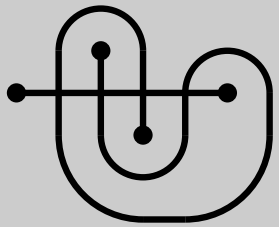


$$\alpha = \frac{4}{5}$$



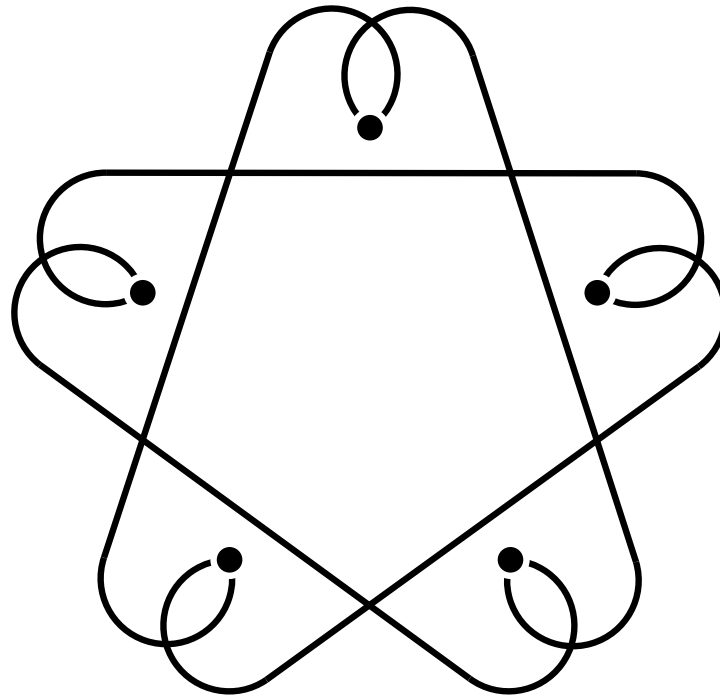
No incident- and no multicrossings

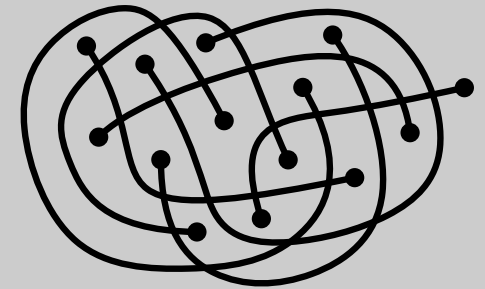
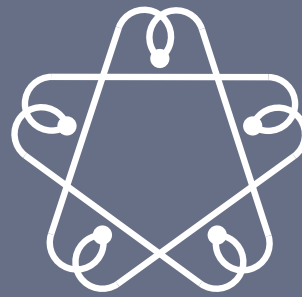
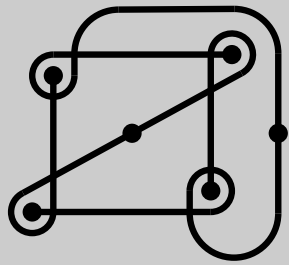
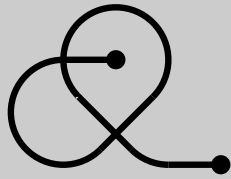
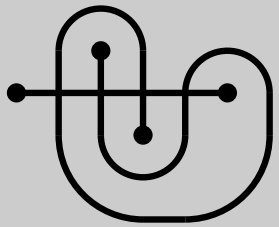




No self- and no multicrossings

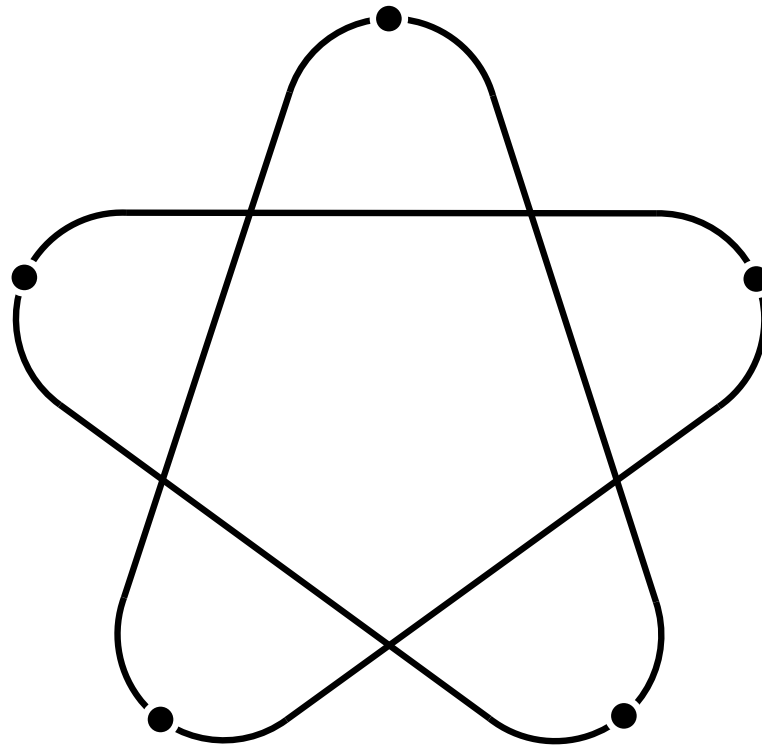
$$\alpha = \frac{2(k+1)}{k(k-1)}$$

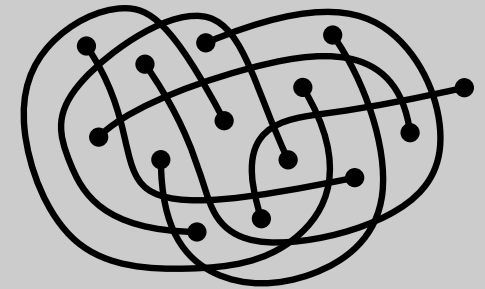
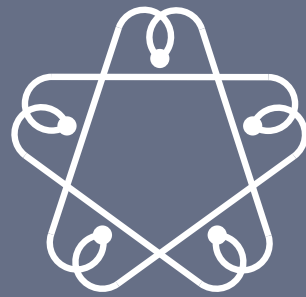
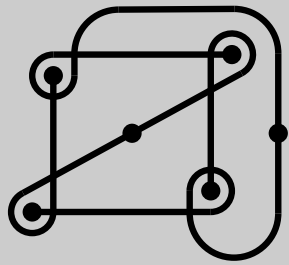
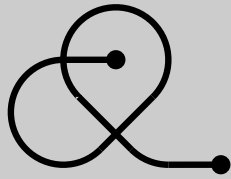
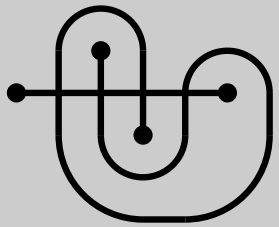




No self- and no multicrossings

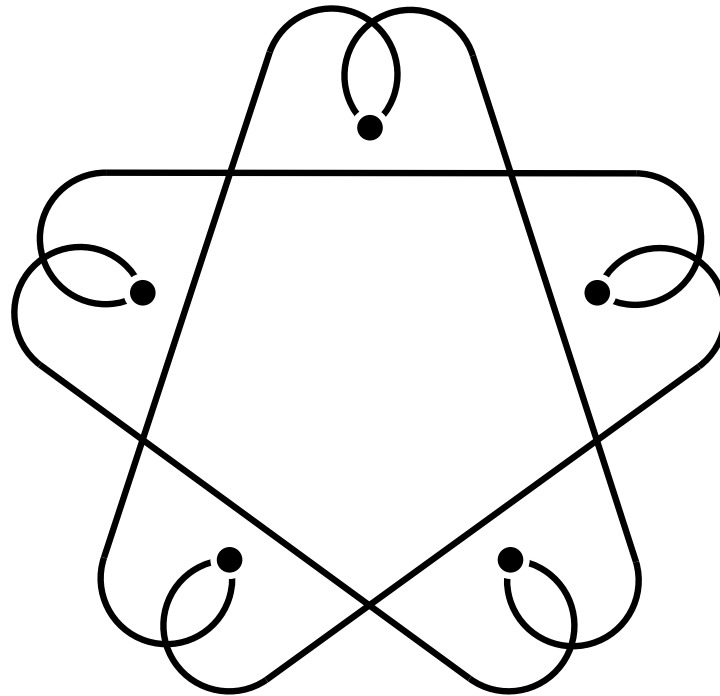
$$\alpha = \frac{2(k+1)}{k(k-1)}$$



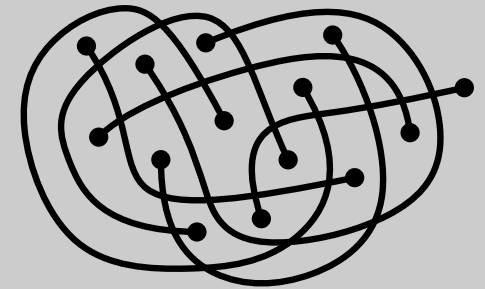
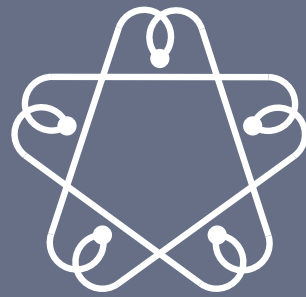
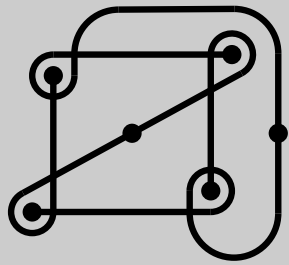
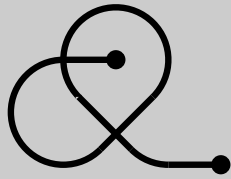
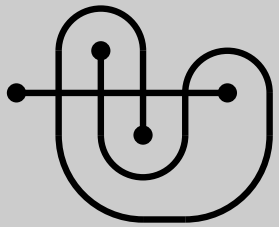


No self- and no multicrossings

$$\alpha = \frac{2(k+1)}{k(k-1)}$$

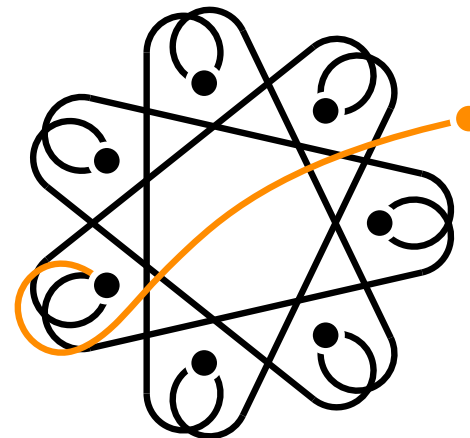
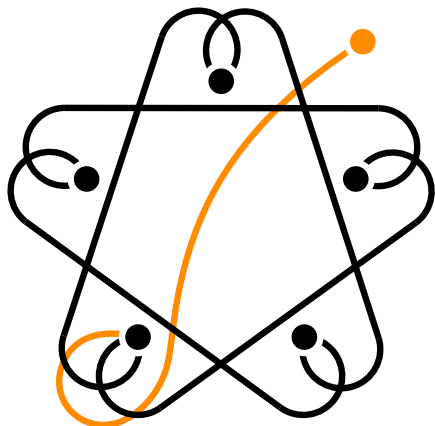
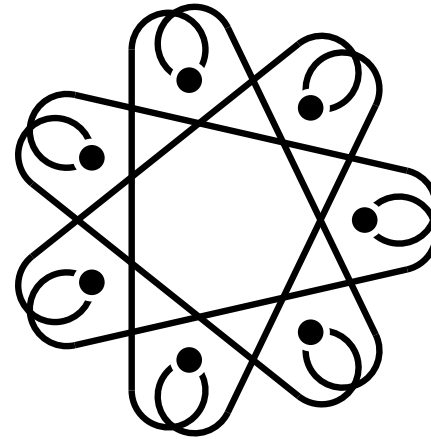
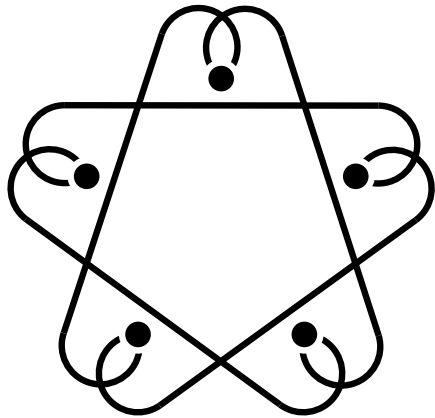


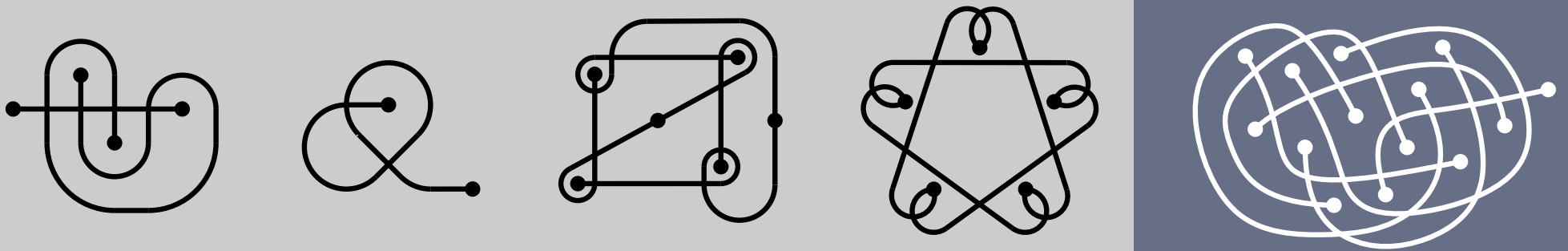




No self- and no multicrossings

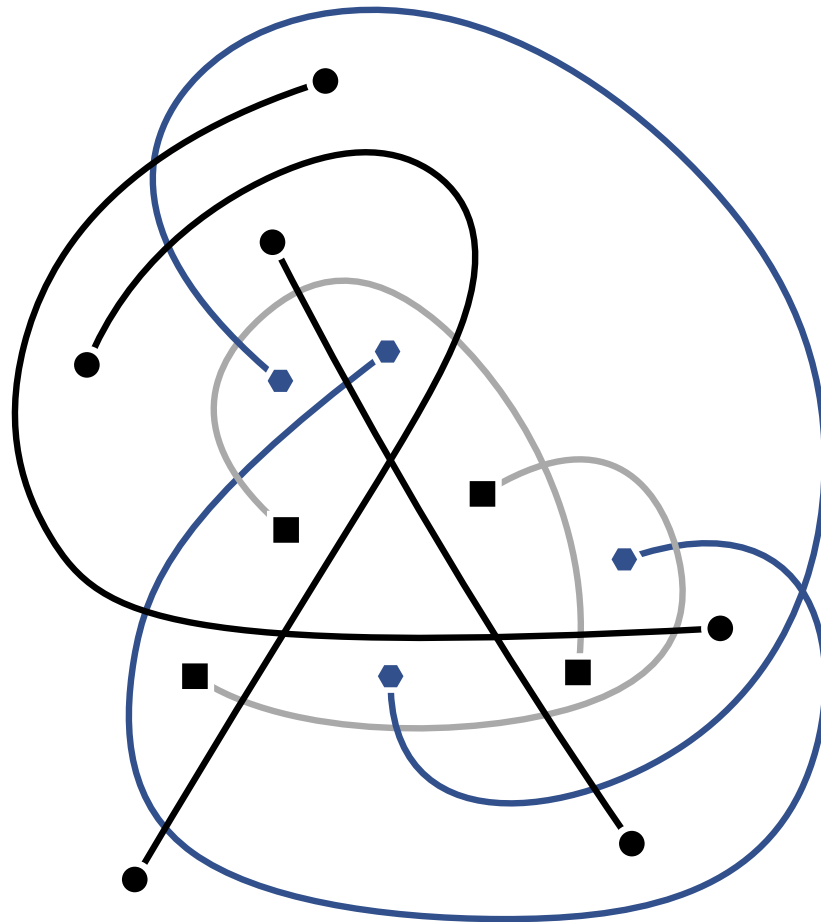
$$\alpha = \frac{2(k+1)}{k(k-1)}$$



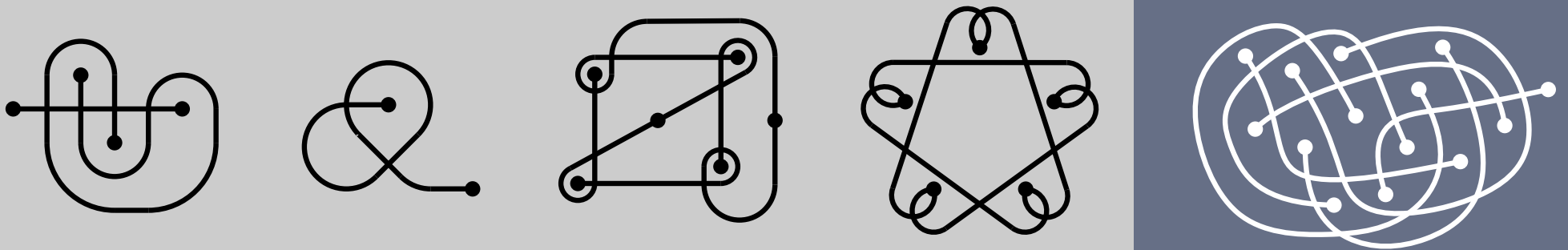


No self-, no incident-, and no multicrossings

$$\alpha = \frac{2(k+1)}{k(k-1)}$$

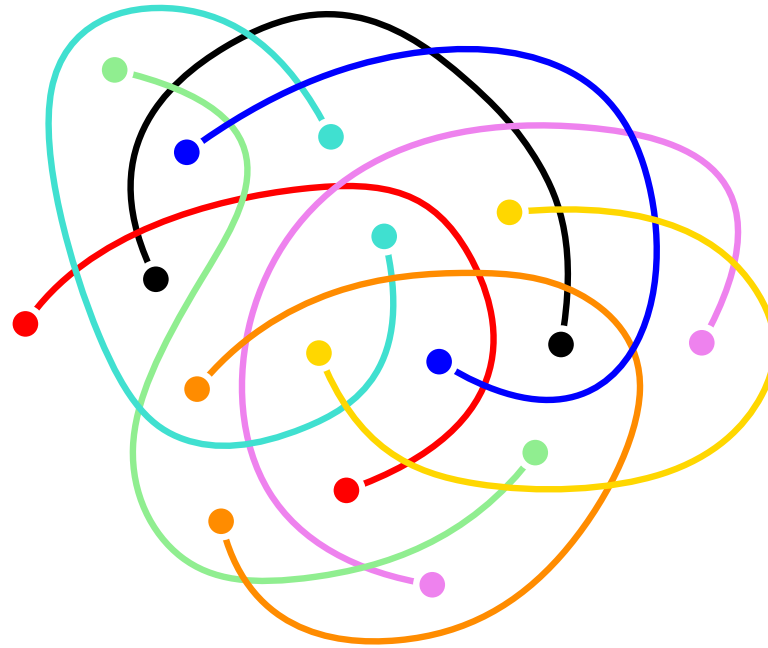


Only for  $k \geq 6$

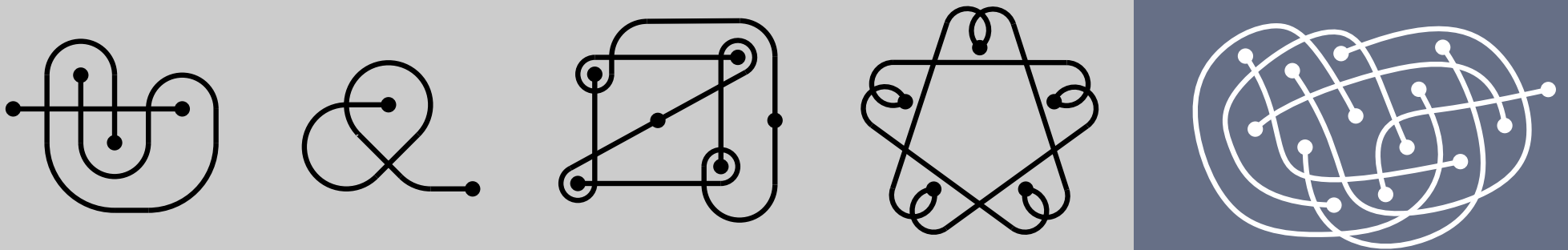


No self-, no incident-, and no multicrossings

$$\alpha = \frac{2(k+1)}{k(k-1)}$$

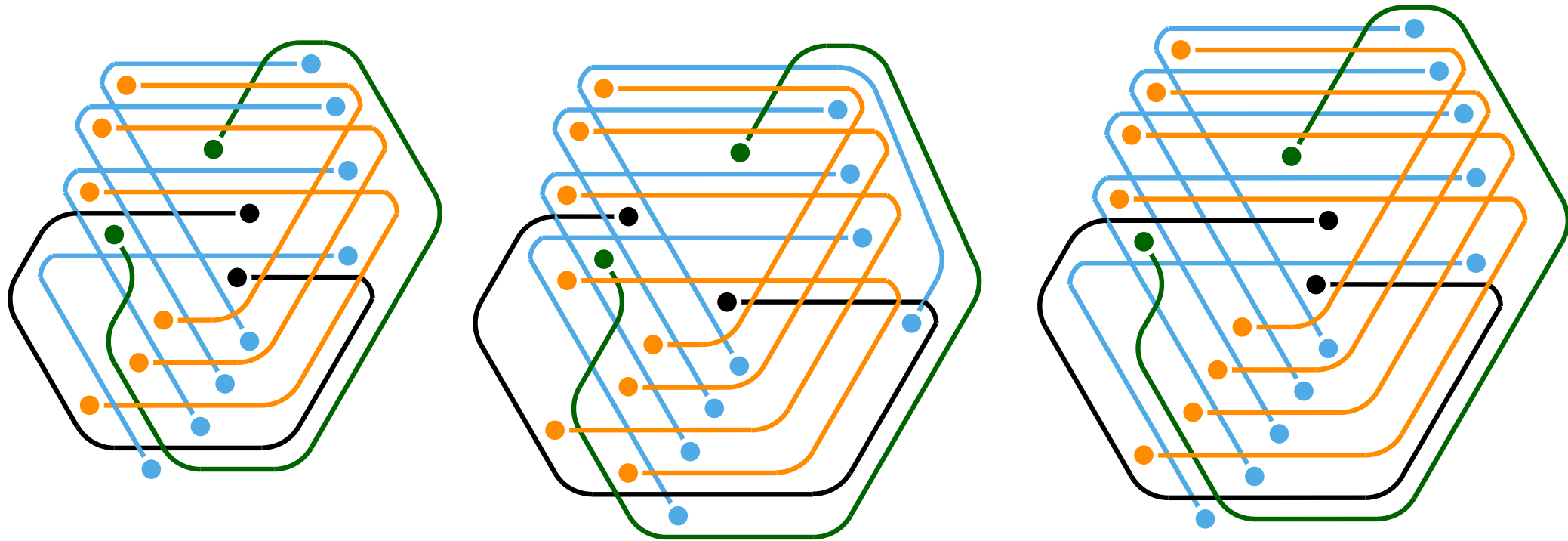


Only for  $k \geq 6$



No self-, no incident-, and no multicrossings

$$\alpha = \frac{2(k+1)}{k(k-1)}$$



Only for  $k \geq 6$

# Conclusion

Tight bounds for  $k$ -planar drawings of multigraphs

For  $k$ -planar drawings of simple graphs less edges are possible



# Conclusion

Tight bounds for  $k$ -planar drawings of multigraphs

For  $k$ -planar drawings of simple graphs less edges are possible



Future work:

- Tighten the bounds for simple graphs → stay tuned
- Study the setting for connected graphs, e.g. trees
- Do not fix the drawing

## Thank you!

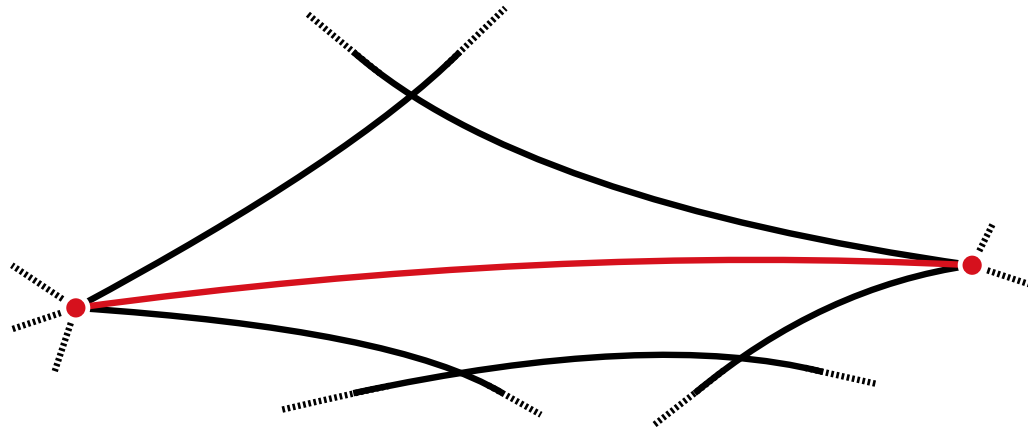
$k$	restrictions	minimum number of edges of saturated $k$ -planar drawings on $n$ vertices
$k \geq 4$	no restriction	$\frac{2}{k - (k \bmod 2)} \cdot (n - 1)$
	<b>I</b> no incident crossings	
$k \geq 4$	<b>S</b> no selfcrossings	$\frac{2}{k-1} \cdot (n - 1)$
	<b>S</b> no self- and <b>I</b> no incident crossings	
$k \geq 4$	<b>M</b> no multicrossings	$\frac{2(k-1)}{(k-1)(k-2)+2} \cdot (n - 1)$
$k \geq 4$	<b>S</b> no self- and <b>M</b> no multicrossings	$\frac{2(k+1)}{k(k-1)} \cdot (n - 1)$
$k = 4$	<b>I</b> no incident and <b>M</b> no multicrossings	$\frac{4}{5} \cdot (n - 1)$
$k \geq 5$		$\frac{2(k-1)}{(k-1)(k-2)+2} \cdot (n - 1)$
$k \geq 6$	<b>S</b> no self-, <b>M</b> no multi-, and <b>I</b> no incident crossings	$\frac{2(k+1)}{k(k-1)} \cdot (n - 1)$
	<b>S</b> no self-, <b>M</b> no multi-, <b>I</b> no incident crossings, and <b>H</b> homotopy-free	

# Filled and essentially-2-connected drawings



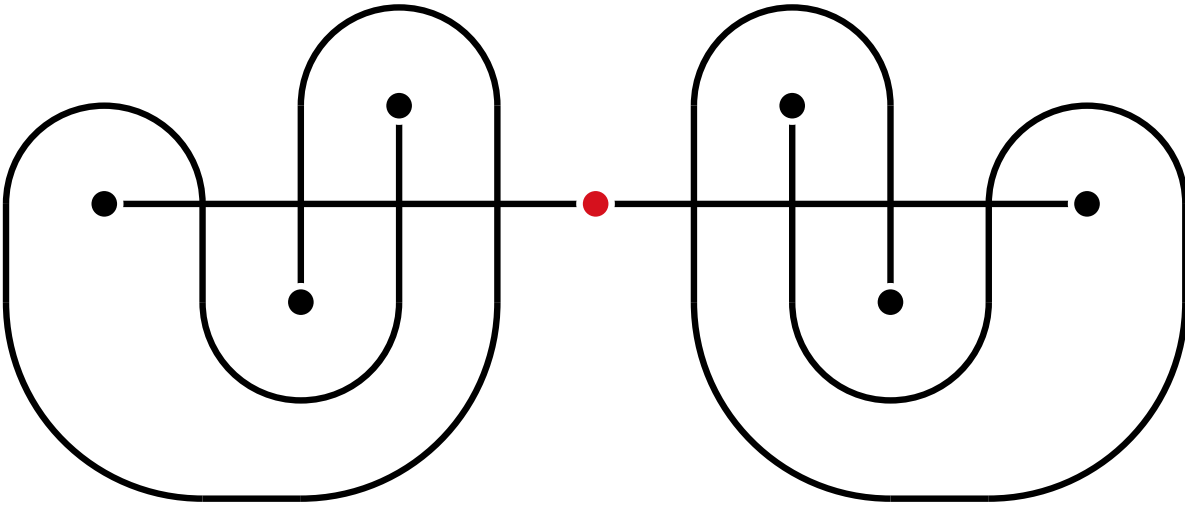
# Filled drawings

Drawing  $D$  is filled if any two vertices on the boundary of a cell  $c$  in  $D$  are connected via an uncrossed edge in the boundary of  $c$ .

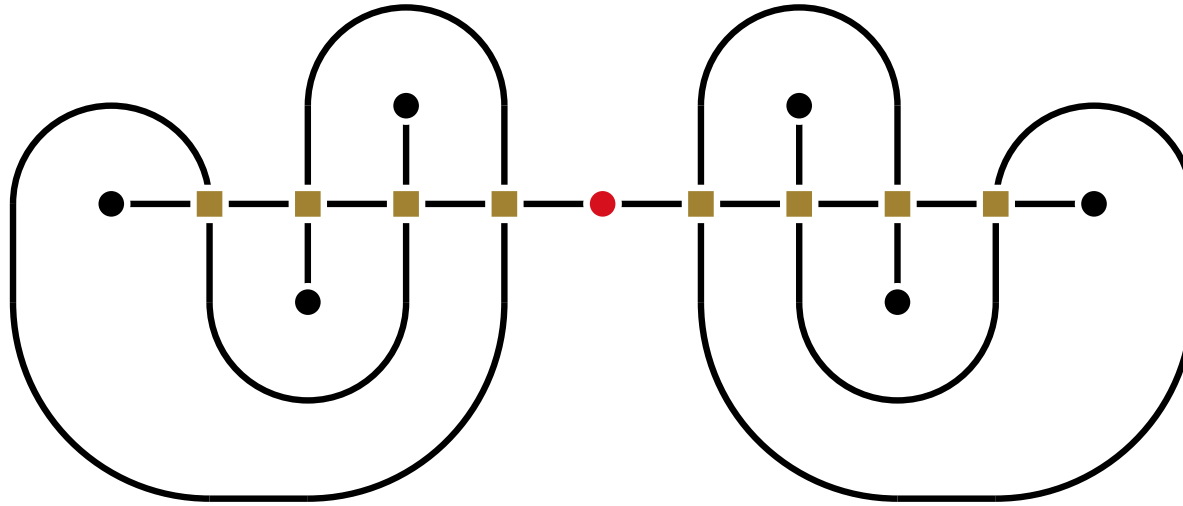


Let  $\Gamma$  be some drawing style from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  then every  $k$ -planar saturated drawing for drawing style  $\Gamma$  is filled.

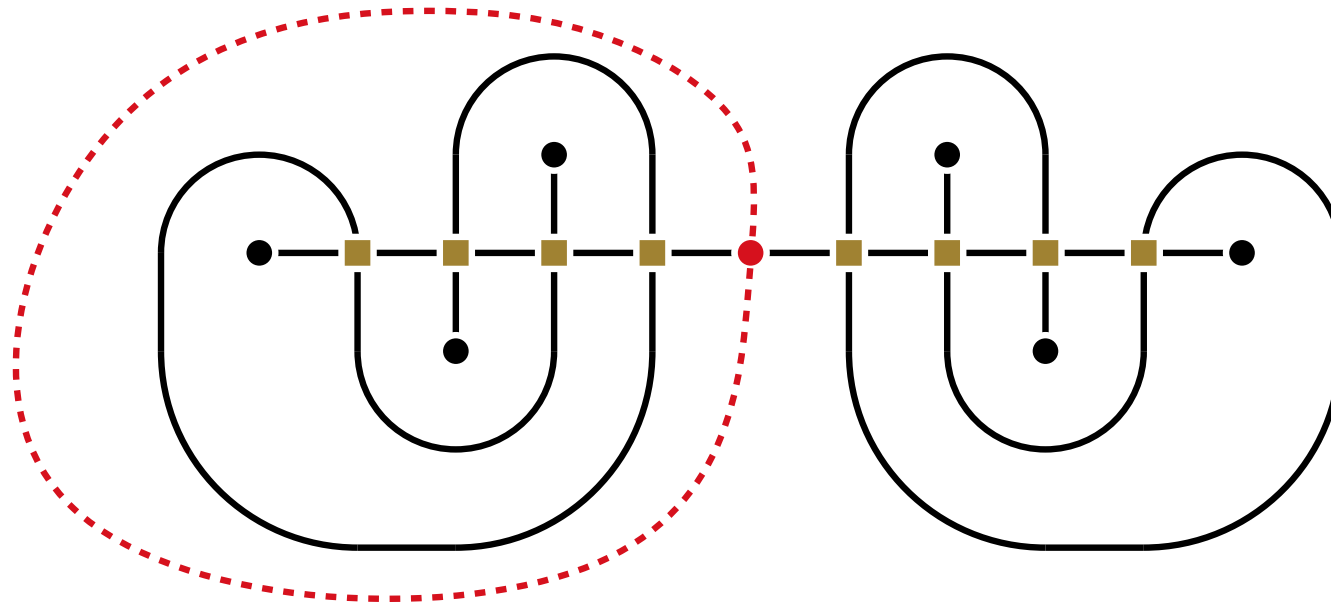
# Essentially-2-connected



# Essentially-2-connected

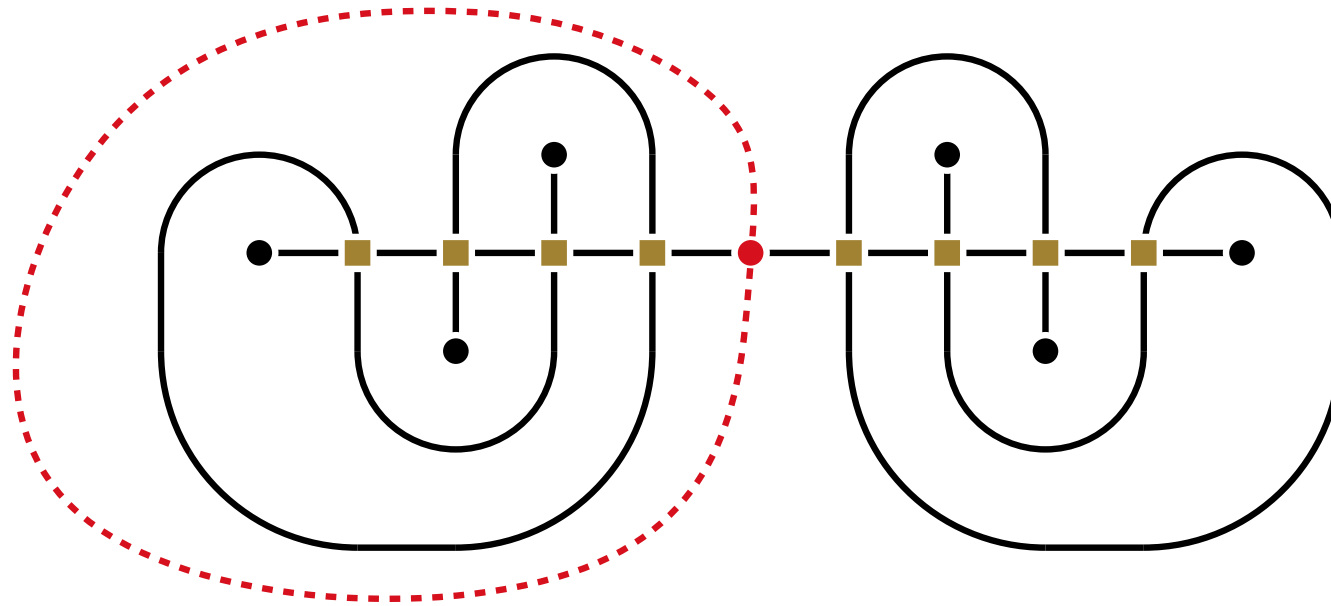


Essentially-2-connected

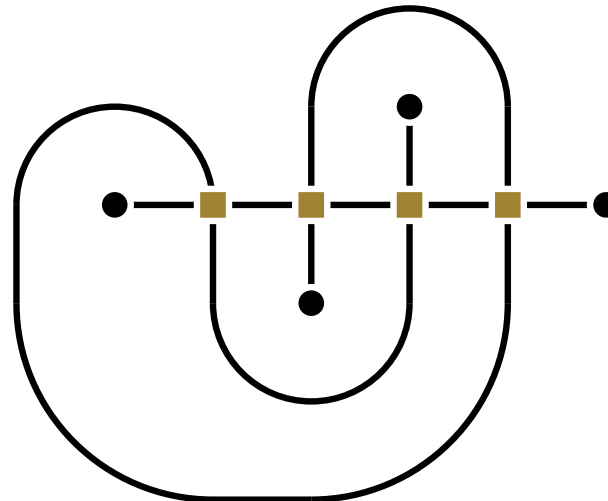


Not essentially-2-connected

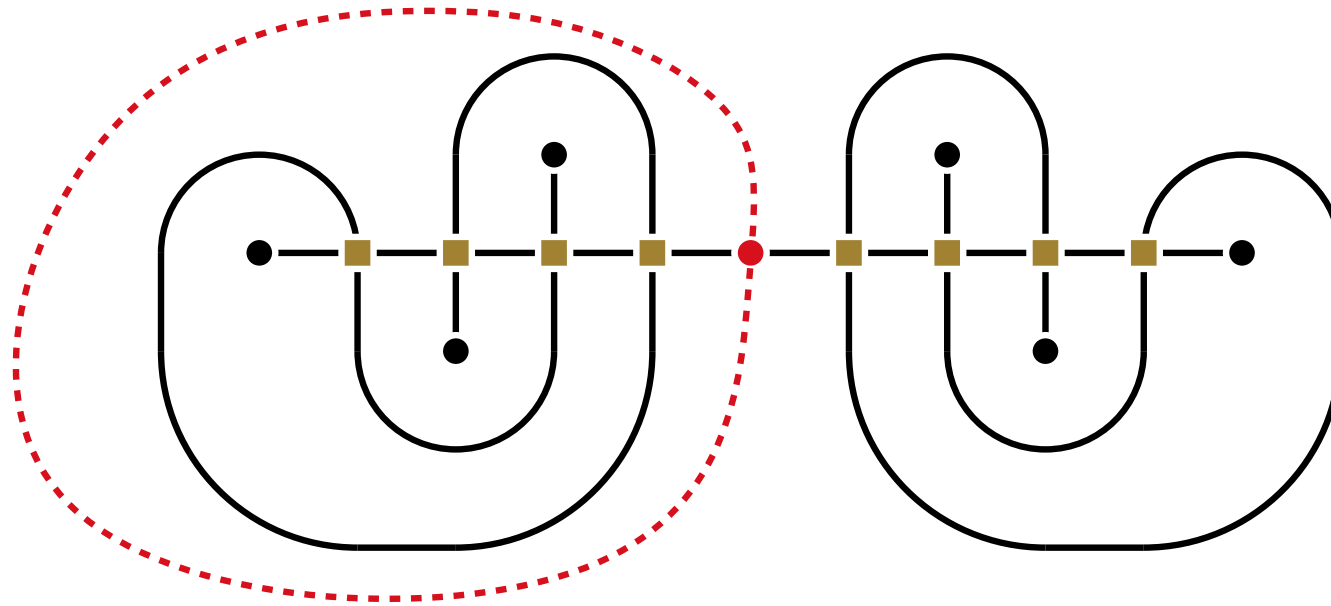
# Essentially-2-connected



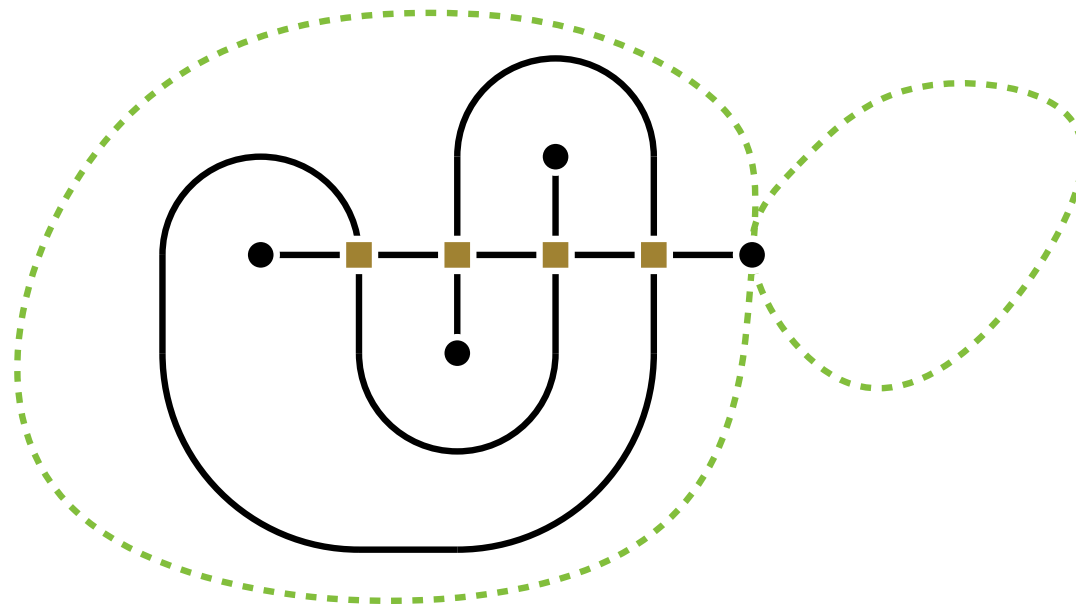
# Not essentially-2-connected



# Essentially-2-connected



# Not essentially-2-connected



# Inductive drawings

For every drawing style  $\Gamma$  from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  and every filled drawing  $D \in \Gamma$  we have  $m_D \geq \alpha_\Gamma \cdot (n_D + c_0(D) - 1)$  where

$$\alpha_\Gamma = \min \left\{ \frac{m_{D'}}{n_{D'} + c_0(D') - 1} : D' \in \Gamma \text{ is filled and e-2-c} \right\}.$$

# Inductive drawings

For every drawing style  $\Gamma$  from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  and every filled drawing  $D \in \Gamma$  we have  $m_D \geq \alpha_\Gamma \cdot (n_D + c_0(D) - 1)$  where

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Induction on  $n_D$

True when  $D$  is essentially-2-connected



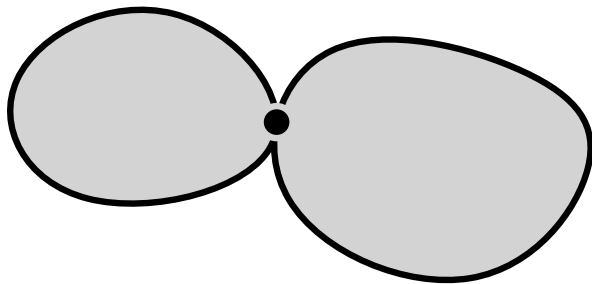
# Inductive drawings

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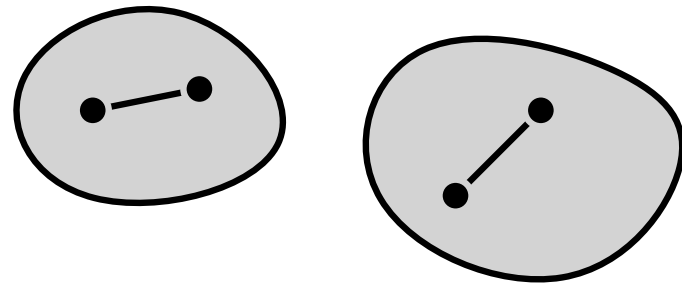
$$\alpha_\Gamma = \min \left\{ \frac{m_{D'}}{n_{D'} + c_0(D') - 1} : D' \in \Gamma \text{ is filled and e-2-c} \right\}.$$

Induction on  $n_D$

True when  $D$  is essentially-2-connected



Cut-vertex



Two components

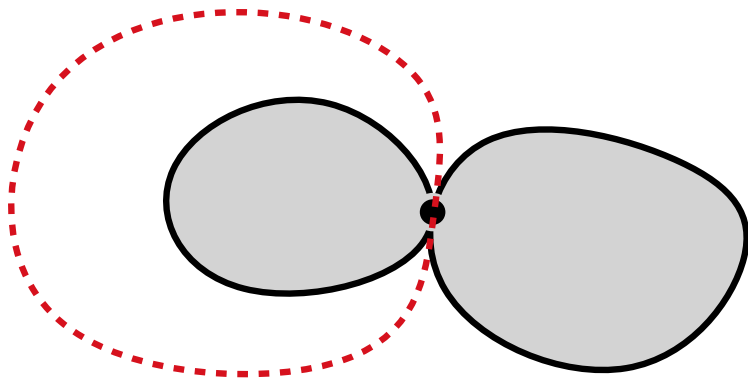
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For every drawing style  $\Gamma$  from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  and every filled drawing  $D \in \Gamma$  we have  $m_D \geq \alpha_\Gamma \cdot (n_D + c_0(D) - 1)$  where

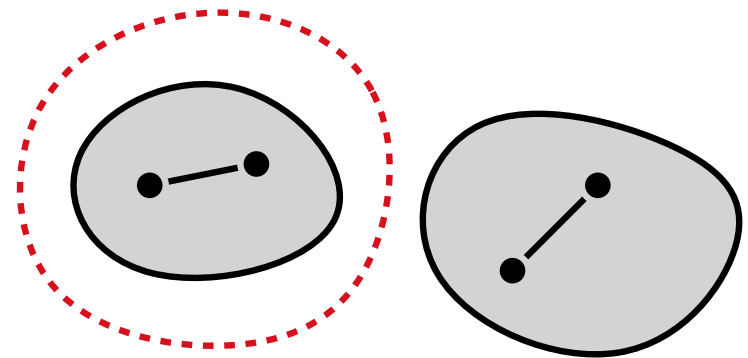
$$\alpha_\Gamma = \min \left\{ \frac{m_{D'}}{n_{D'} + c_0(D') - 1} : D' \in \Gamma \text{ is filled and e-2-c} \right\}.$$

Induction on  $n_D$

True when  $D$  is essentially-2-connected



Cut-vertex



Two components

# Exact bound

For every drawing style  $\Gamma$  from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  and every filled drawing  $D \in \Gamma$  we have  $m_D \geq \alpha_\Gamma \cdot (n_D + c_0(D) - 1)$  where

$$\alpha_\Gamma = \min \left\{ \frac{m_{D'}}{n_{D'} + c_0(D') - 1} : D' \in \Gamma \text{ is filled and e-2-c} \right\}.$$

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# Exact bound

For every drawing style  $\Gamma$  from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  and every filled drawing  $D \in \Gamma$  we have  $m_D \geq \alpha_\Gamma \cdot (n_D + c_0(D) - 1)$  where

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$$\begin{aligned} \frac{m_D}{n_D - 1} &\geq \frac{m_D}{n_D + c_0(D) - 1} \geq \alpha_\Gamma = \min_{D'} \frac{m_{D'}}{n_{D'} + c_0(D') - 1} \\ &= \min_{D'} \frac{2}{k-2} \cdot \frac{n_{D'} + c_0(D') - 2 + \varepsilon(D')}{n_{D'} + c_0(D') - 1} \end{aligned}$$

# Lower bound

$$\begin{aligned}\frac{m_D}{n_D - 1} &\geq \frac{m_D}{n_D + c_0(D) - 1} \geq \alpha = \min_{D'} \frac{m_{D'}}{n_{D'} + c_0(D') - 1} \\ &= \min_{D'} \frac{2}{k - 2} \cdot \frac{n_{D'} + c_0(D') - 2 + \varepsilon(D')}{n_{D'} + c_0(D') - 1}\end{aligned}$$

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$$\frac{n_D + c_0(D) - 2 + \varepsilon(D)}{n_D + c_0(D) - 1} \geq \frac{n_T - 2}{n_T - 1}$$

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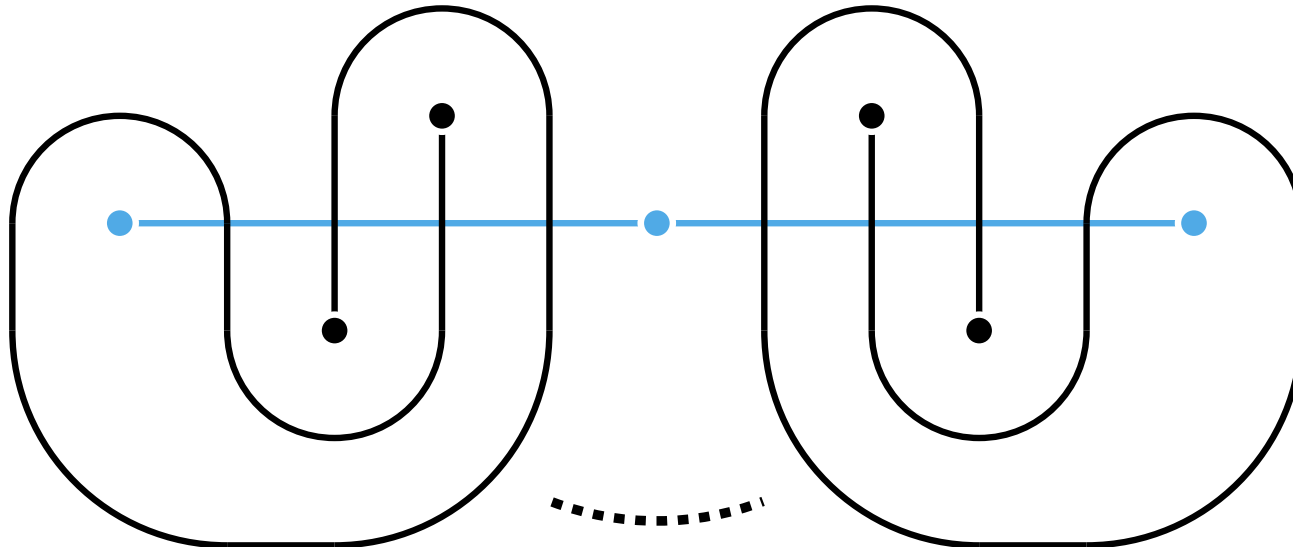
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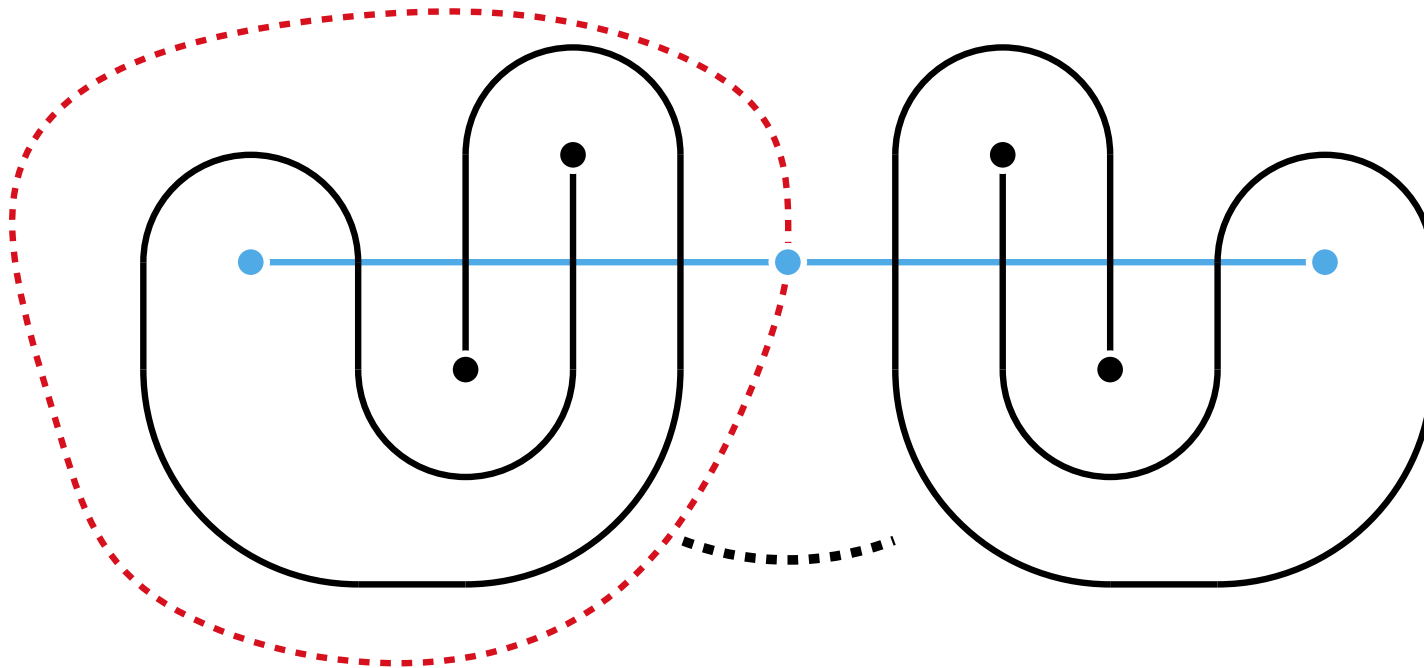
For every  $k \geq 4$  and drawing style  $\Gamma$  from  $\{\mathbf{S}, \mathbf{I}, \mathbf{M}\}$  of  $k$ -planar drawings, if  $D \in \Gamma$  is a tight drawing, then  $\alpha \leq \frac{2}{k-2} \cdot \frac{n_D-2}{n_D-1} < 1$ .



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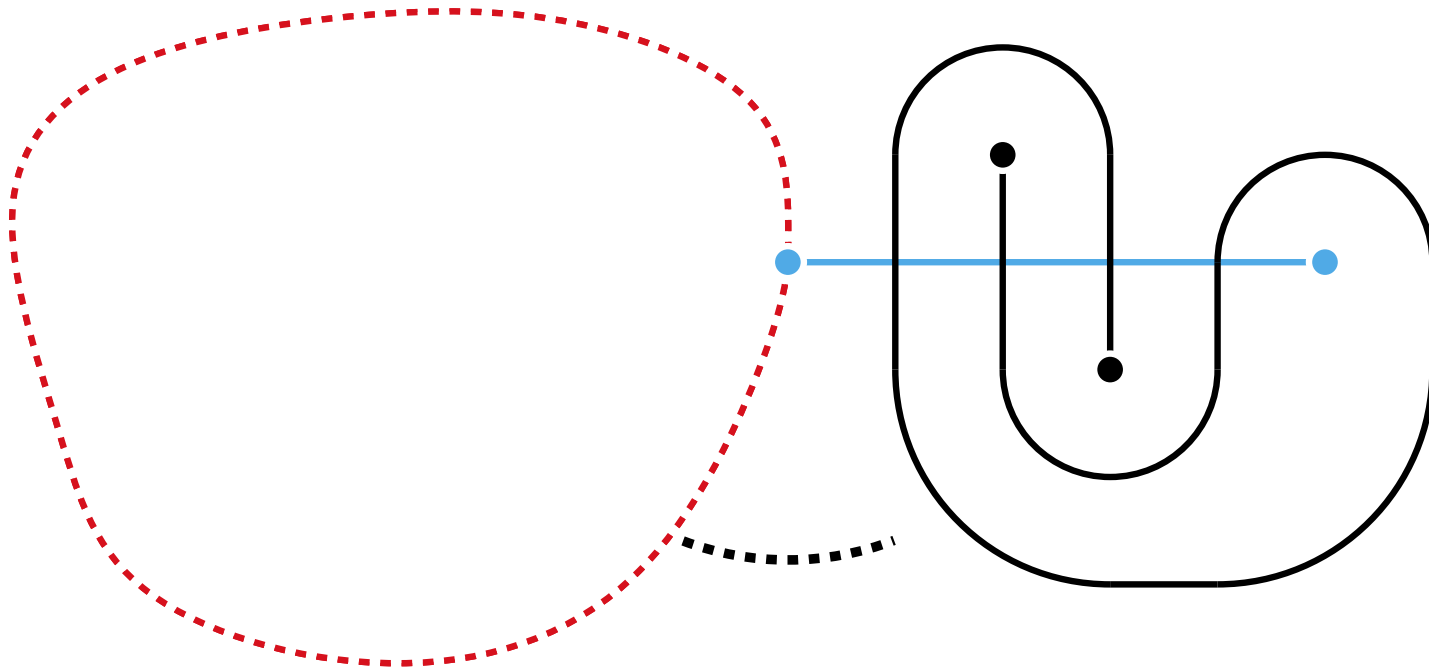




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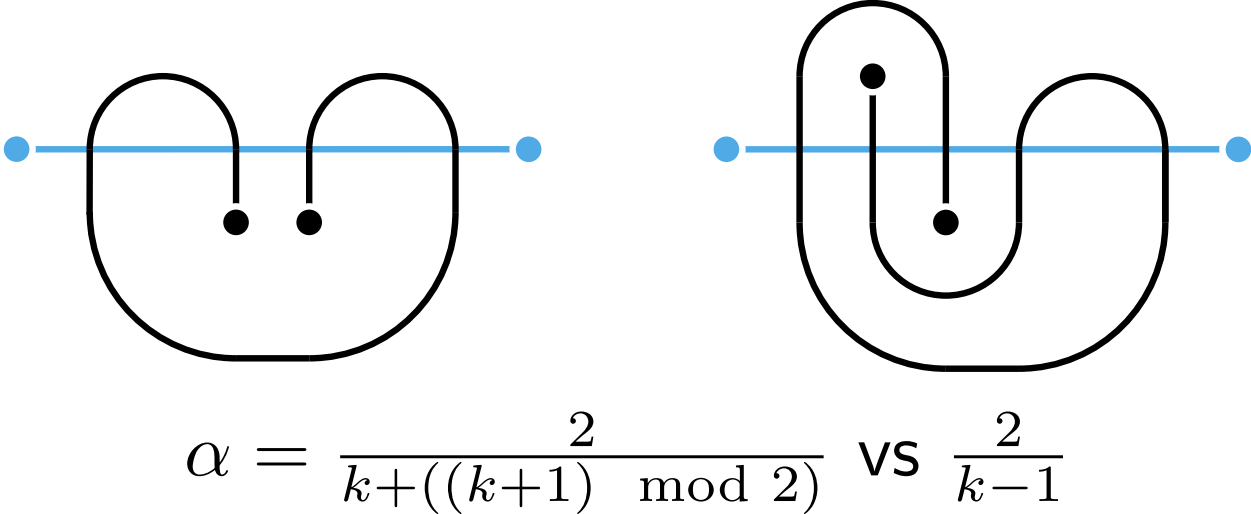
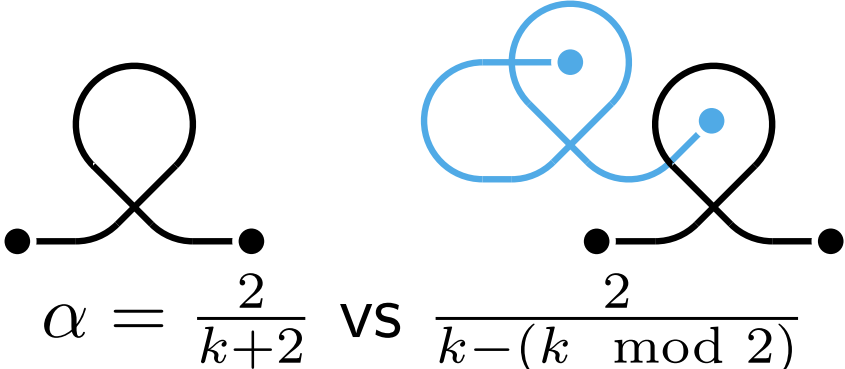
# Simple graphs

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$\alpha \geq \frac{2}{k+2}$  best lower bound so far for all styles

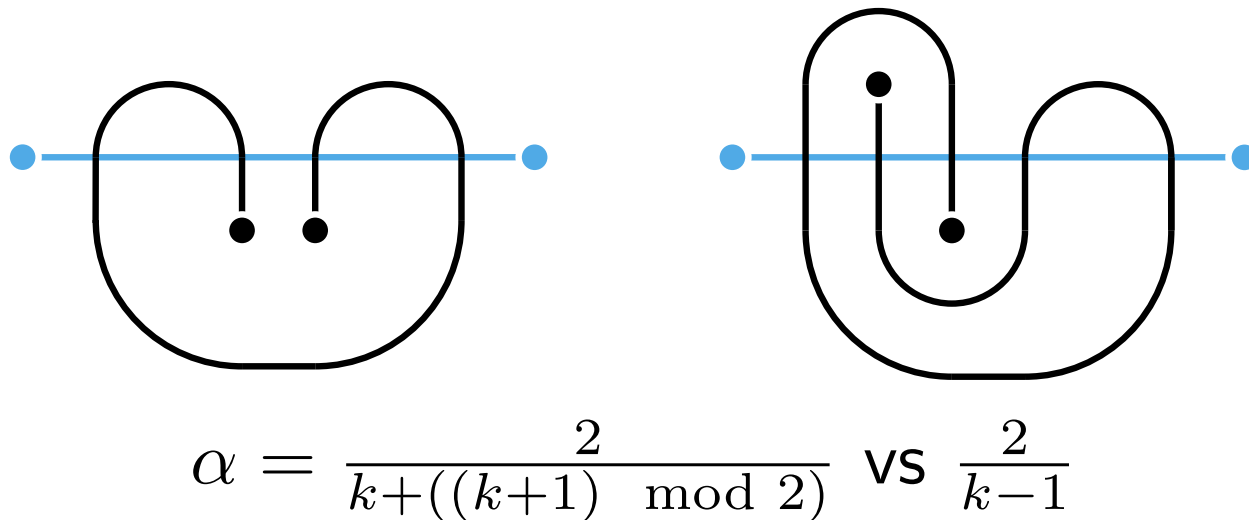
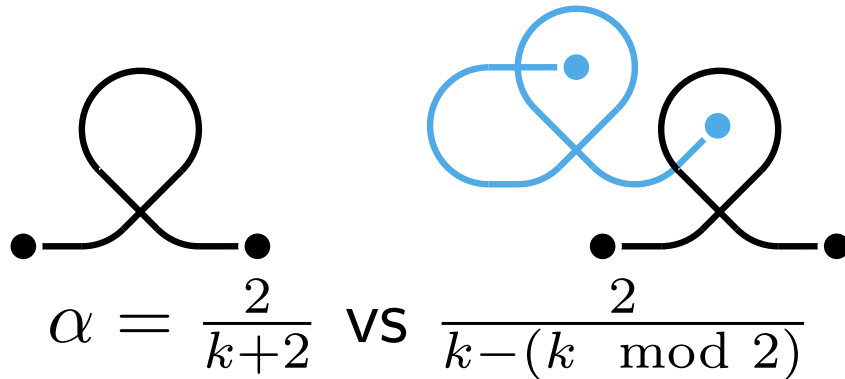
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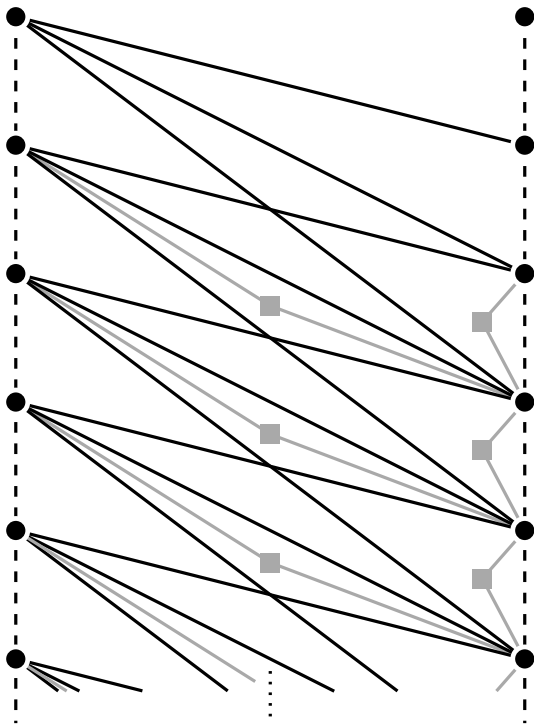
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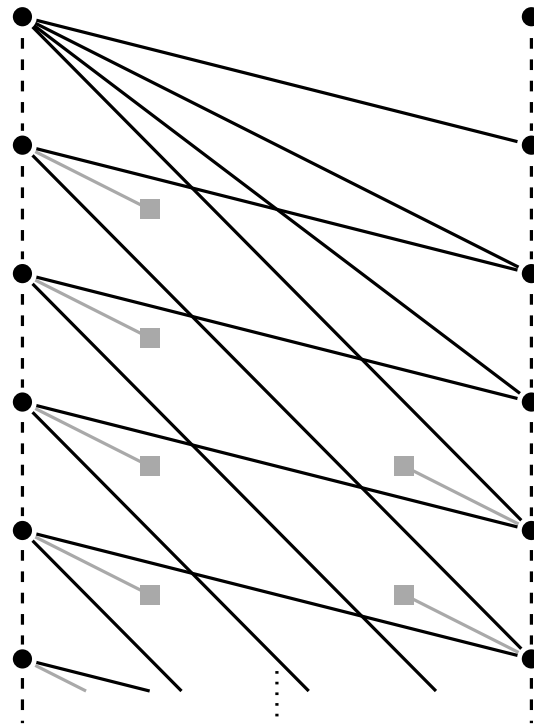
$\alpha \leq \frac{2}{k-1}$  as soon as  $\{\mathbf{M}\}$  in the style

# No multicrossings

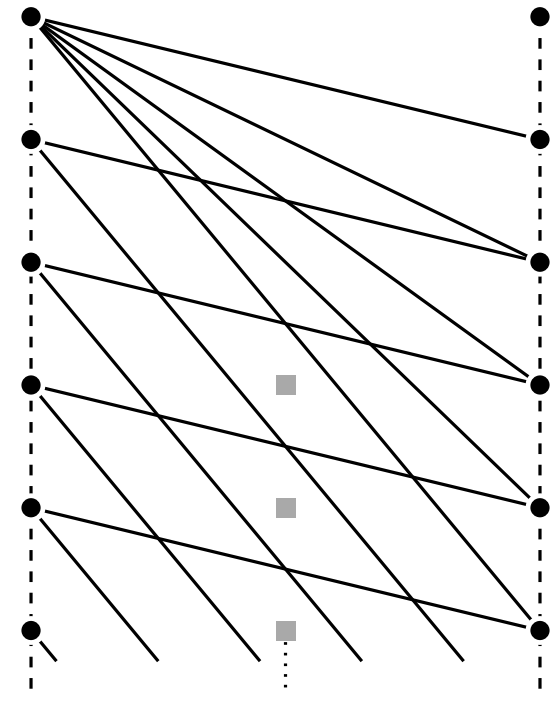
Different type of construction for  $\mathbf{M} \in \Gamma$



$k = 1$



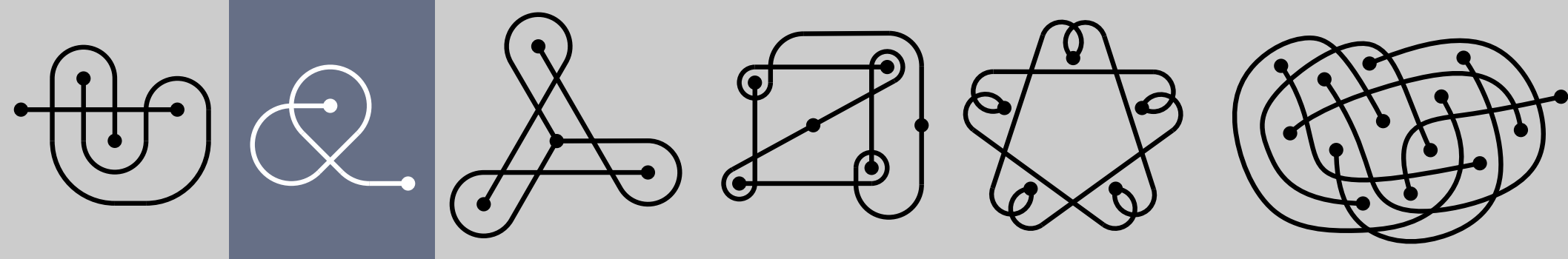
$k = 2$



$k \geq 3$

[Brandenburg et al.'12] [Auer et al.'12]





We assume  $1 \leq \# \text{crossed edges in } D \leq m_D < m_0$

Additionally:  $\varepsilon(D)$  gives that

$$2 \cdot \# \text{crossings} \geq k \cdot \# \text{crossed edges} - 1$$

$\Rightarrow m_D = 1$  and this edge has exactly  $\frac{k-1}{2}$  selfcrossings

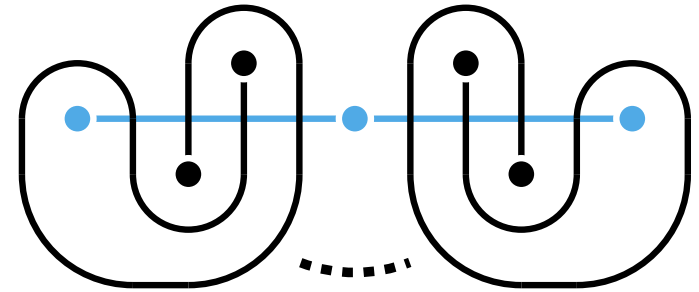
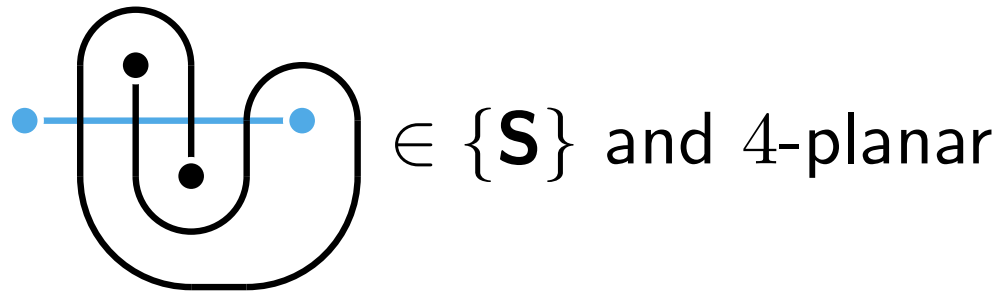
Euler on planarization of  $D \Rightarrow n_D + c_0(D) = (k+1)/2$

$$\frac{n_D + c_0(D) - 2 + \varepsilon(D)}{n_D + c_0(D') - 1} \geq \frac{(k+1)/2 - 2 + 1/2}{(k+1)/2 - 1}$$

$$= \frac{k-2}{k-1} = \frac{n_{D_T} - 2}{n_{D_T} - 1}.$$



# Lower bound—Glimpse

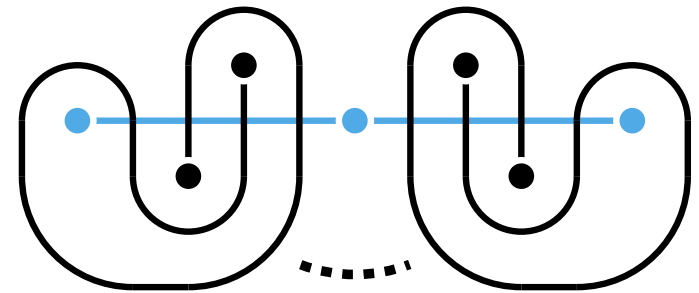
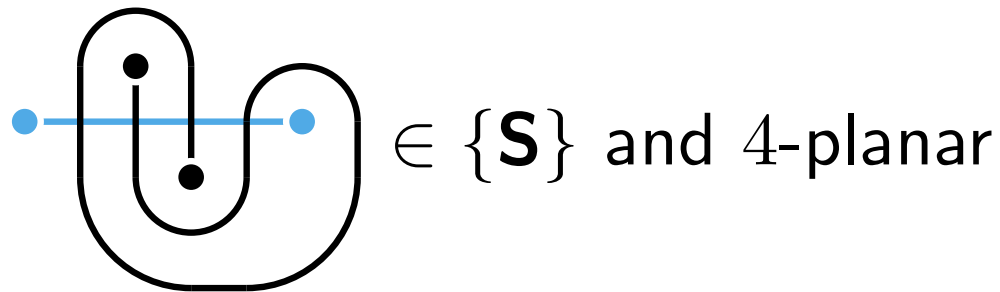


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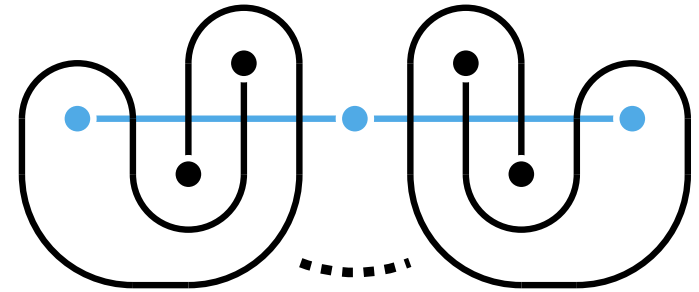
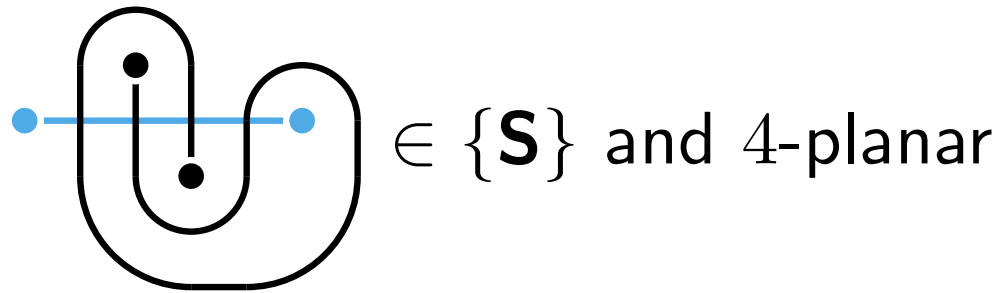
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We can assume that:

■  $0 \leq \varepsilon(D) < 1$

■  $n_D + c_0(D) \leq n_T - 1$

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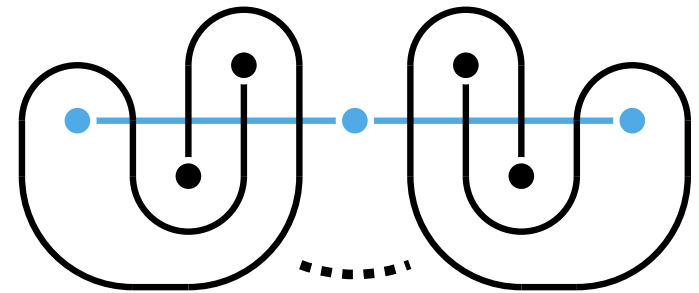
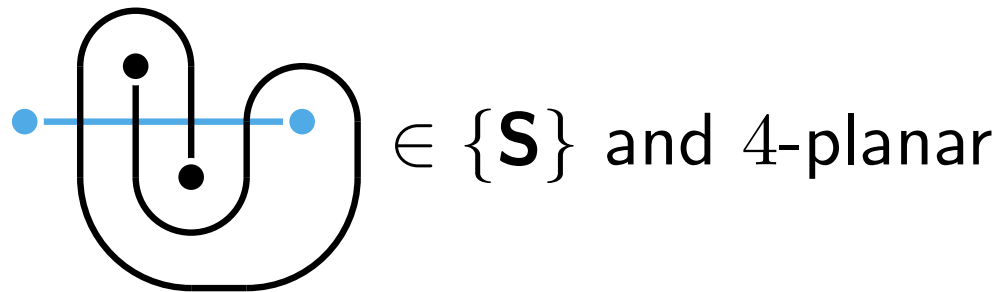
$$\blacksquare 0 \leq \varepsilon(D) < 1$$

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$\Rightarrow$  the above equation holds unless:

$$m_D = \frac{2}{k-2} (n_D + c_0(D) - 2 + \varepsilon(D)) < \frac{2}{k-2} (n_T - 1 - 2 + 1) = m_T$$

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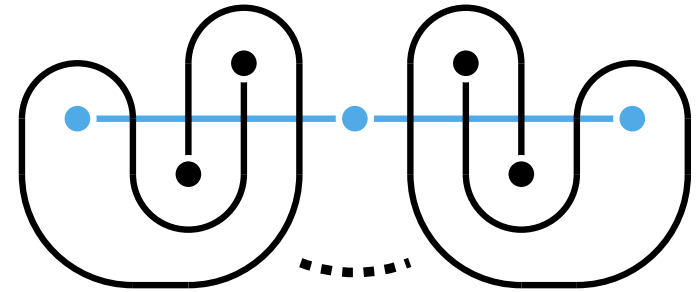
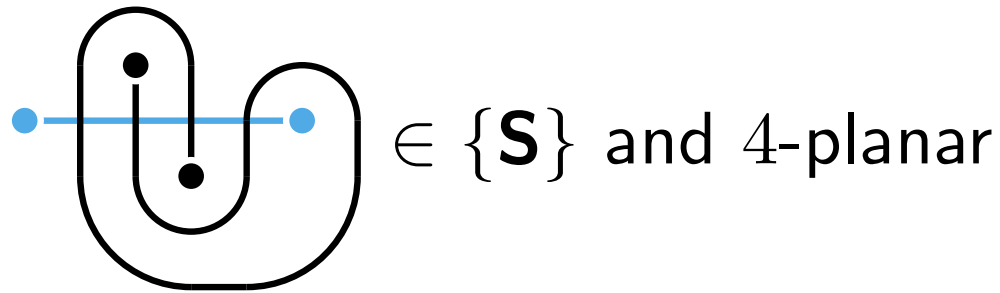
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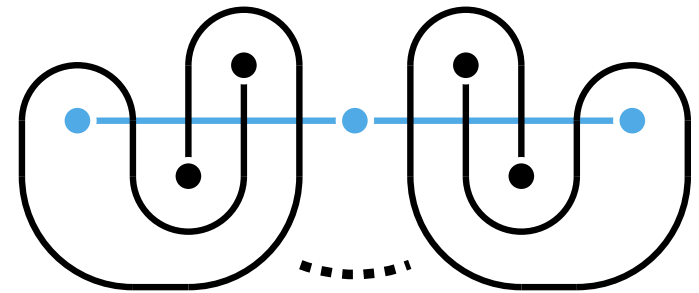
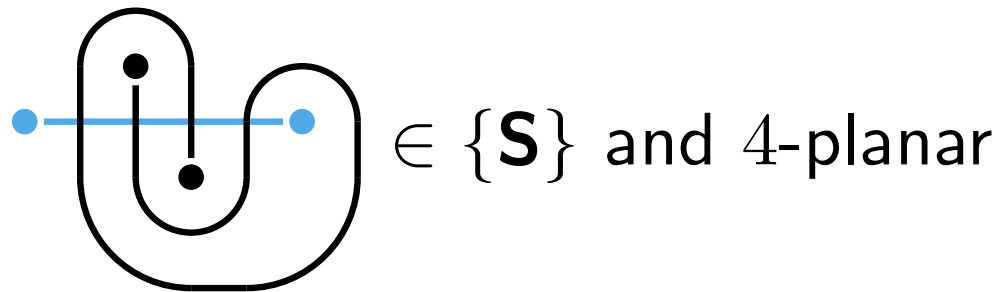
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